The background image shows a large, spherical detector structure, likely the Super-Kamiokande neutrino detector. It features a complex grid of photomultiplier tubes (PMTs) arranged in a spherical pattern. A central detector module is visible, and a large, yellow, cylindrical structure is extending from the bottom left towards the center. The overall scene is illuminated with a warm, golden light, suggesting an interior view of the detector.

NEUTRINO PHYSICS: NEW VISTAS

Giorgio Gratta
Stanford University

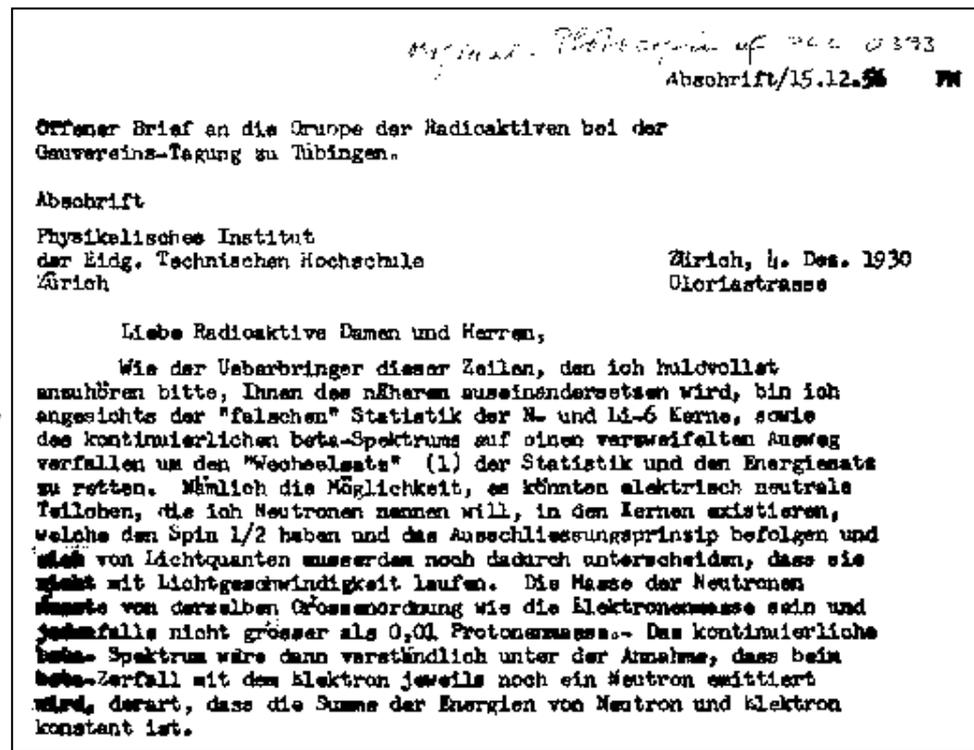
Neutrinos were "invented" in 1930 by W. Pauli to explain some features of nuclear beta decay

Dear Radioactive Ladies and Gentlemen,

As the bearer of these lines, to whom I graciously ask you to listen, will explain to you in more detail, how because of the "wrong" statistics of the N and Li6 nuclei and the continuous beta spectrum, I have hit upon a desperate remedy to save the "exchange theorem" of statistics and the law of conservation of energy. Namely, the possibility that there could exist in the nuclei electrically neutral particles, that I wish to call *neutrons*, which have spin 1/2 and obey the exclusion principle and which further differ from light quanta in that they do not travel with the velocity of light. The mass of the *neutrons* should be of the same order of magnitude as the electron mass and in any event not larger than 0.01 proton masses. The continuous beta spectrum would then become understandable by the assumption that in beta decay a neutron is emitted in addition to the electron such that the sum of the energies of the neutron and the electron is constant.....

I agree that my remedy could seem incredible because one should have seen those neutrons very earlier if they really exist. But only the one who dare can win and the difficult situation, due to the continuous structure of the beta spectrum, is lighted by a remark of my honoured predecessor, Mr Debye, who told me recently in Bruxelles: "Oh, It's well better not to think to this at all, like new taxes". From now on, every solution to the issue must be discussed. Thus, dear radioactive people, look and judge. Unfortunately, I cannot appear in Tübingen personally since I am indispensable here in Zurich because of a ball on the night of 6/7 December. With my best regards to you, and also to Mr Back.

Your humble servant
W. Pauli



Today neutrinos occupy an important role in particle physics...

ν_e	ν_μ	ν_τ
e	μ	τ

Leptons

25% of elementary particles are neutrinos

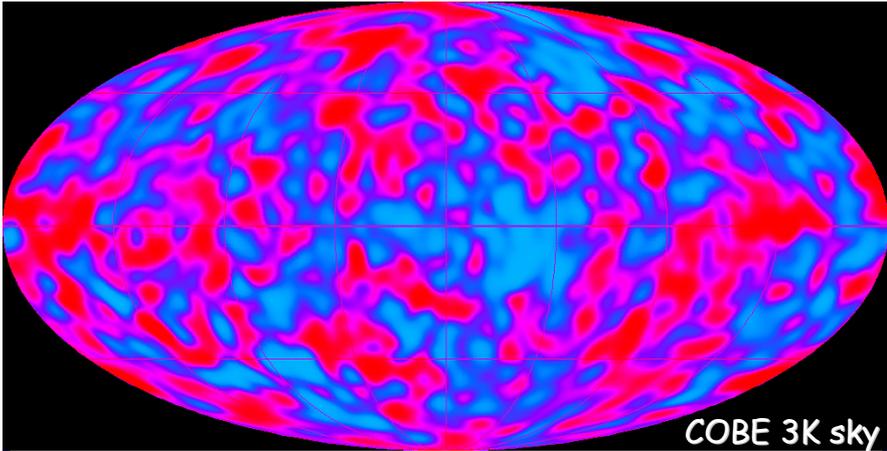
u	c	t
d	s	b

Quarks

Neutrinos have been used as "projectiles" to study other particles and to establish the "Standard Model" of particle physics

...astrophysics and cosmology

Neutrinos are produced in great abundance in stars, supernovae and were produced in the primordial Big Bang

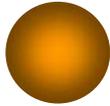


COBE 3K sky

The earliest snapshot we have of the universe

Neutrino decoupling

Seconds after Big Bang



Planck scale

GUT symmetry breaking

Electroweak symmetry breaking

Primordial nucleosynthesis

today



Experimental knowledge of neutrinos

"I have done a terrible thing. I have postulated a particle that cannot be detected." *W.Pauli*

- They exist '50s
- They are left-handed '50s
- $\nu_e \neq \nu_\mu$ '60s
- $n_{\text{light } \nu} = 3$ '90s
- $M_\nu \neq 0$ '90s
- $\nu_\tau \neq \nu_{\mu, e}$ '00s

What do we hope to learn studying ν masses ?

- More information on the “mass problem”:
after all the Standard Model does not really understand masses
- “Minimal Standard Model” usually constructed with $m_\nu=0$
*extensions with finite m_ν naturally give $m_\nu = m_l^2/M$
where m_l is the lepton mass
and M is the scale for new physics*
- $300 \nu/\text{cm}^3$ in the universe from the Big Bang:
*non-zero ν masses have an impact on
the problem of dark matter*

If m_ν , then leptons could behave like quarks

➔ the weak interaction eigenstate $|\nu_j\rangle$ is a superposition of mass eigenstates $|\nu_j\rangle = \sum_l U_{jl} |\nu_l\rangle$

U_{jl} is a 3×3 unitary matrix (like the CKM matrix for quarks)

What propagates is the mass eigenstate $|\nu_l\rangle$

$$|\nu_l(t)\rangle = e^{-i(E_i t - p_i L)} |\nu_l(0)\rangle \cong e^{-i(m_j^2 / 2E)L} |\nu_l(0)\rangle$$

Flight path

What is produced and detected is $|\nu_j\rangle$

Assuming
 $E_i = E \gg m_i$ $p_i \gg m_i$

$$|\nu_j\rangle \cong \sum_l U_{lj} e^{-i(m_l^2/2E)L} |\nu_l\rangle \cong \sum_{j'} \sum_l U_{lj} e^{-i(m_l^2/2E)L} U_{j'l}^* |\nu_{j'}\rangle$$

...that is neutrinos “acquire” components from other flavors as they propagate

We can define a “transition probability”

$$P(\nu_{j'} \rightarrow \nu_j, L) = \left| \sum_l U_{lj} U_{j'l}^* e^{-i(m_l^2/2E)L} \right|^2$$

...a periodic function of the baseline L

For 2 flavors this simplifies:

$$U = \begin{pmatrix} \cos \theta & \sin \theta \\ -\sin \theta & \cos \theta \end{pmatrix}$$

Only one mixing parameter θ

$$m_1^2 - m_2^2$$

[eV²]

[km]

$$P(\nu_e \rightarrow \nu_\mu, L) = \sin^2 2\theta \sin^2 \frac{1.3 \Delta m^2 L}{E}$$

[MeV]

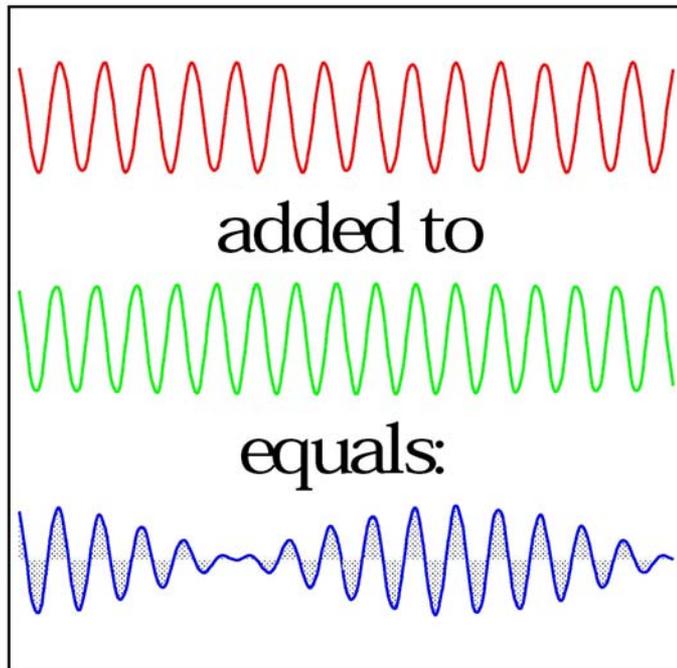
Neutrino oscillations



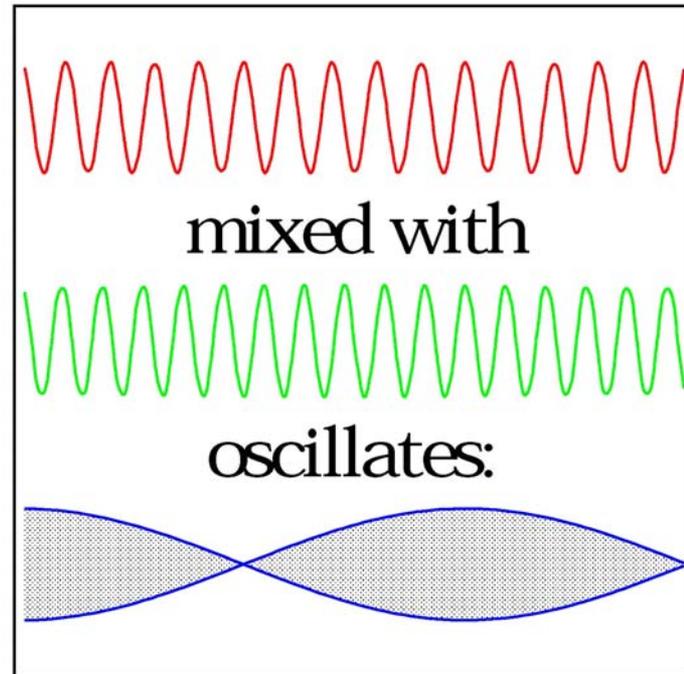
$$m_\nu \neq 0$$

Neutrino oscillations are analogous to "beatings" in sound waves

Sound Waves



Mixed n Flavors

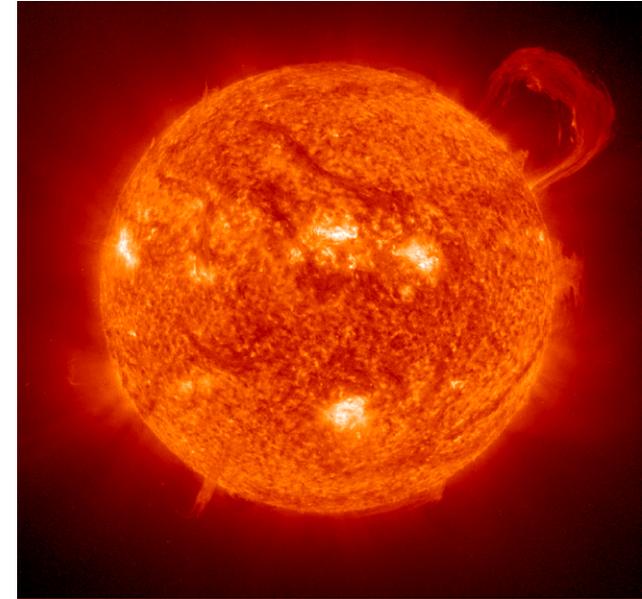


ν_1 wave-
function

ν_2 wave-
function

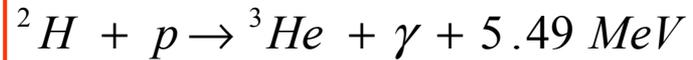
neutrino
flux

ν_e are abundant by-products of nuclear fusion in the sun



"pp" 99.75%

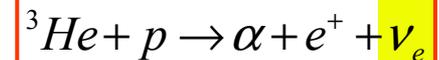
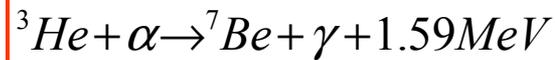
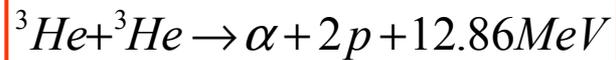
"pep" 0.25%



86%

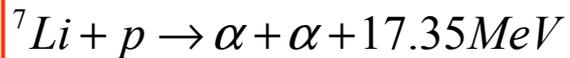
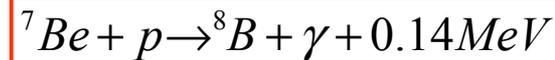
14%

"hep" 2.4×10^{-5}

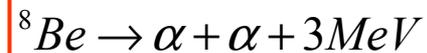
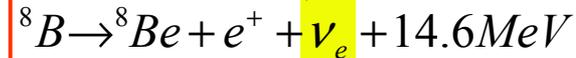


" ${}^7\text{Be}$ " 99.89%

0.11%

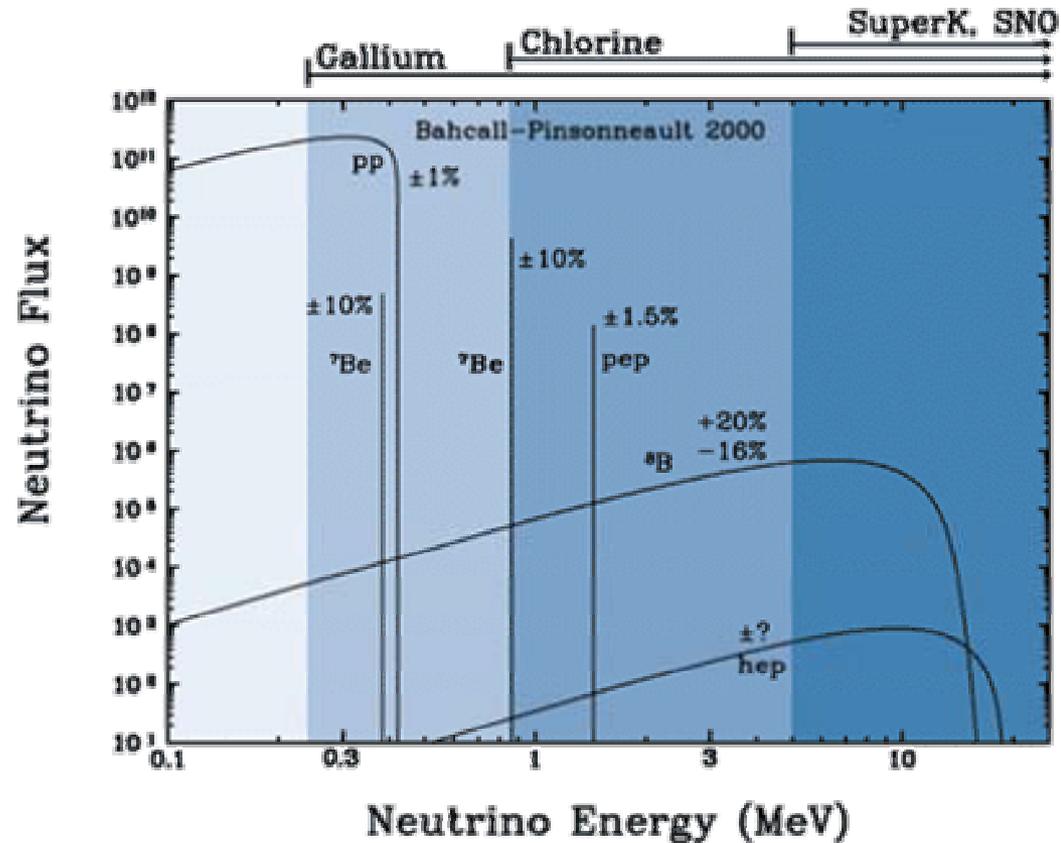


" ${}^8\text{B}$ " 0.11%

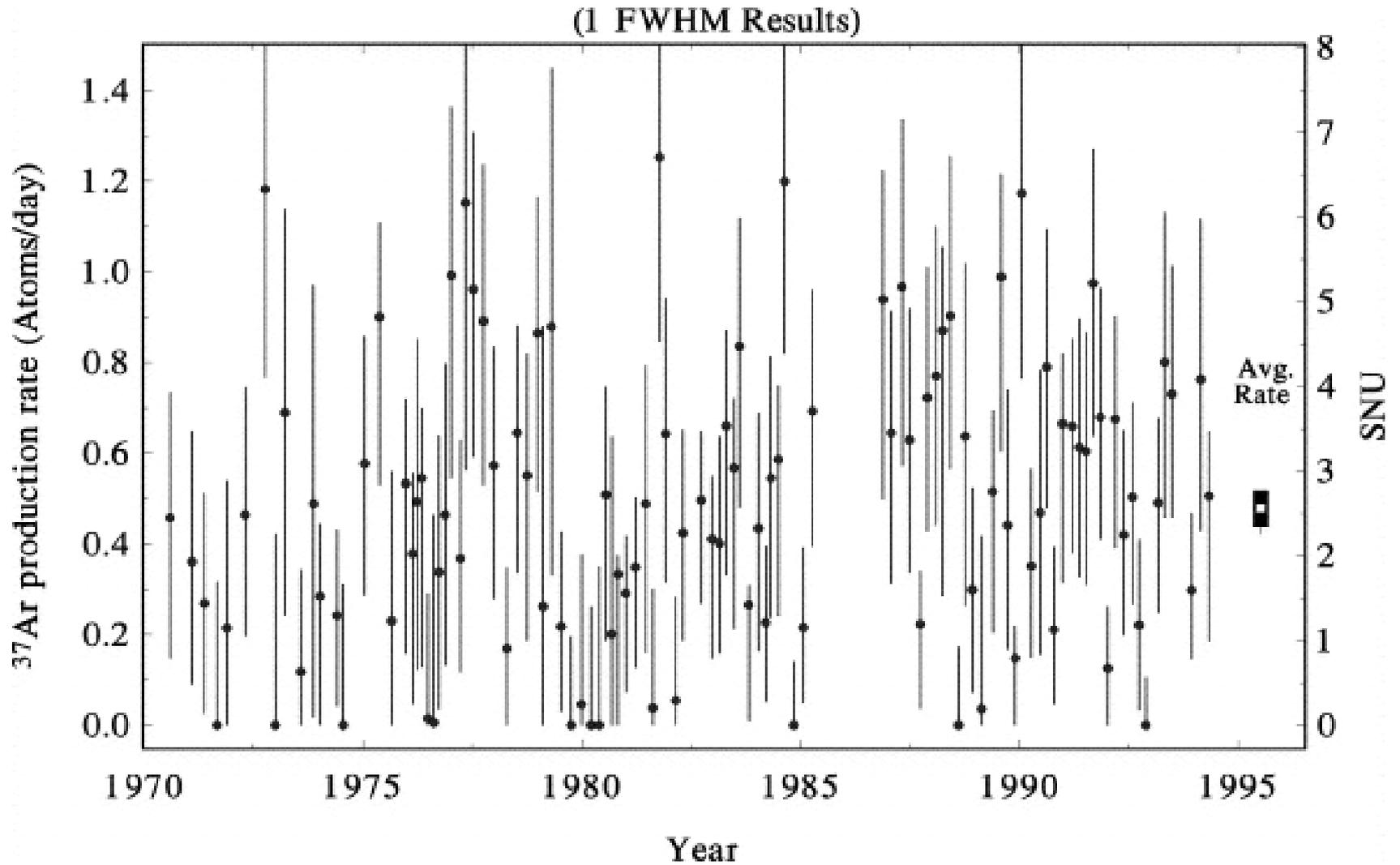


3 types of experiments detecting solar neutrinos

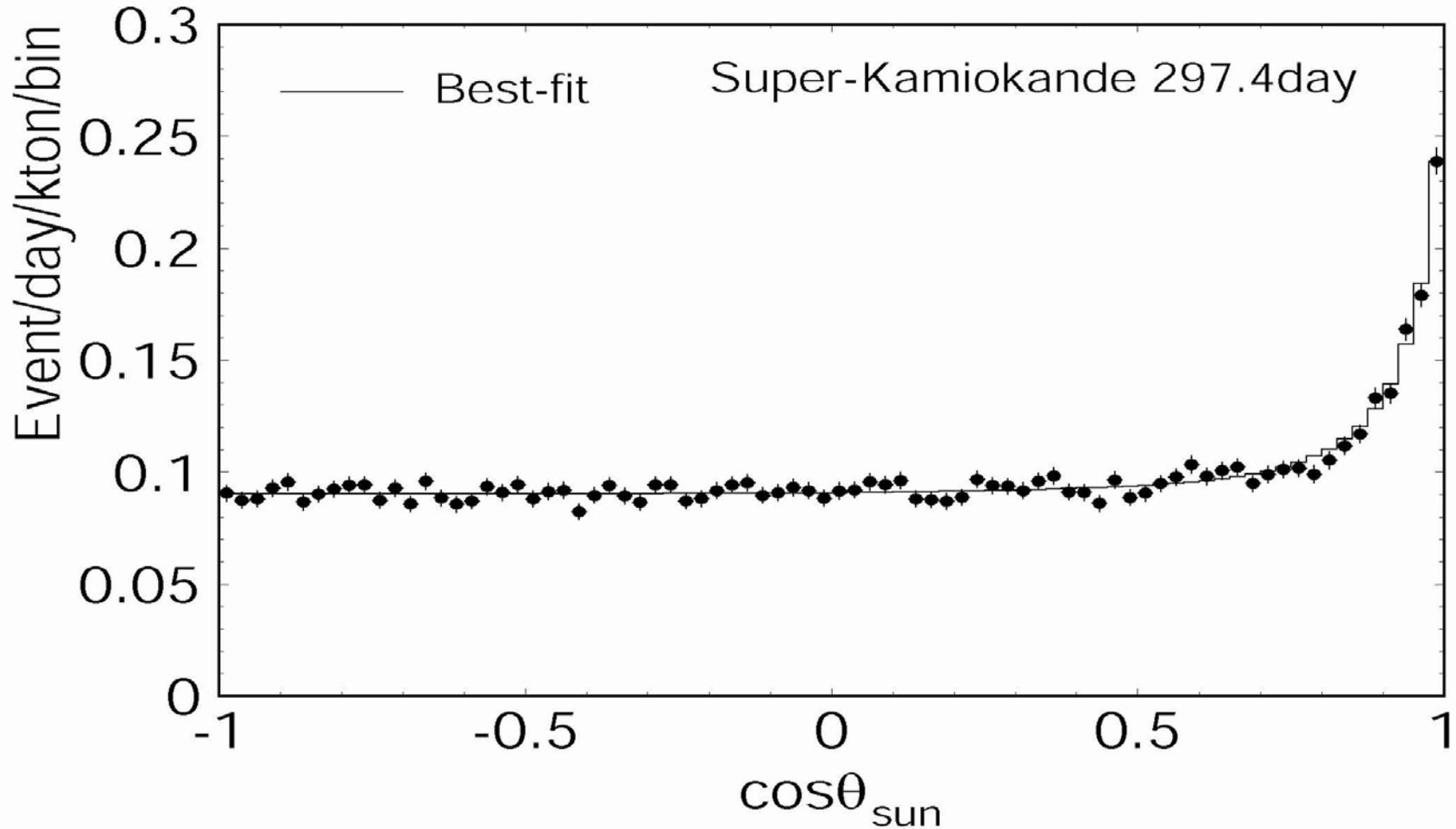
- Chlorine: $^{37}\text{Cl} + \nu_e = ^{37}\text{Ar} + e^-$
1 exp running >30 yrs (US)
- Gallium: $^{71}\text{Ga} + \nu_e = ^{71}\text{Ge} + e^-$
3 exp (Russia, Italy)
- Cerenkov: $e^- + \nu_e = e^- + \nu_e$
3 exp (Japan, Canada)



30 years of solar neutrinos with the Chlorine detector

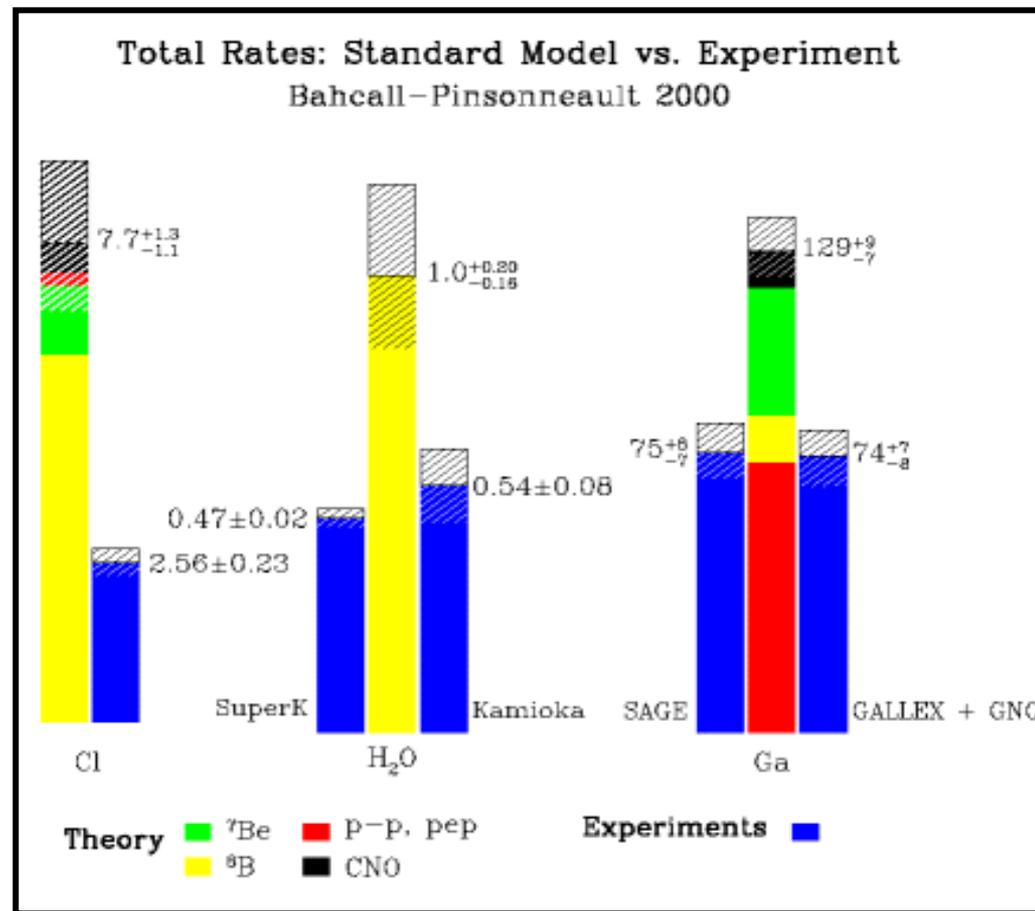


Neutrinos come from the Sun !



Conclusions:

- 1) We detect ν s ! : nuclear fusion powers the sun
- 2) The sun is still shining (this is not trivial: it takes $\sim 1\text{Myr}$ for a γ to emerge from the sun)
- 3) We do not see enough ν s
 - do we understand the sun well enough ?
 - are ν s playing tricks ?



"It starts to be really interesting ! It would be nice if all this will end with something unexpected from the point of view of particle physics. Unfortunately it will not be easy to demonstrate this, even if nature works this way..." B.Pontecorvo, 1972

SNO Measurements



SUDBURY, ONT.

46°28'30" N
81°22'4" W

SNO Description: nucl-ex/9910016

Charged Current Reaction (D₂O):



(only ν_e)

- ν_e energy spectrum (distortion \Rightarrow MSW effect)
- Some directional sensitivity ($1 - 1/3 \cos \theta_e$)

Neutral Current Reaction (D₂O):



(ALL ν types)

- Total solar ⁸B neutrino flux (active neutrinos)

$$\text{Ratio} = \frac{\text{CC}}{\text{NC}} = \frac{(\nu_e) \text{ flux}}{(\nu_e + \nu_\mu + \nu_\tau) \text{ flux}}$$

Elastic Scattering Reaction (D₂O, H₂O):



(mostly ν_e)

- Low counting rate
- Directional sensitivity (very forward peaked)

$$\text{Ratio} = \frac{\text{CC}}{\text{ES}} = \frac{(\nu_e) \text{ flux}}{0.86 \nu_e + 0.14(\nu_\mu + \nu_\tau) \text{ flux}}$$

2039 meters
to surface

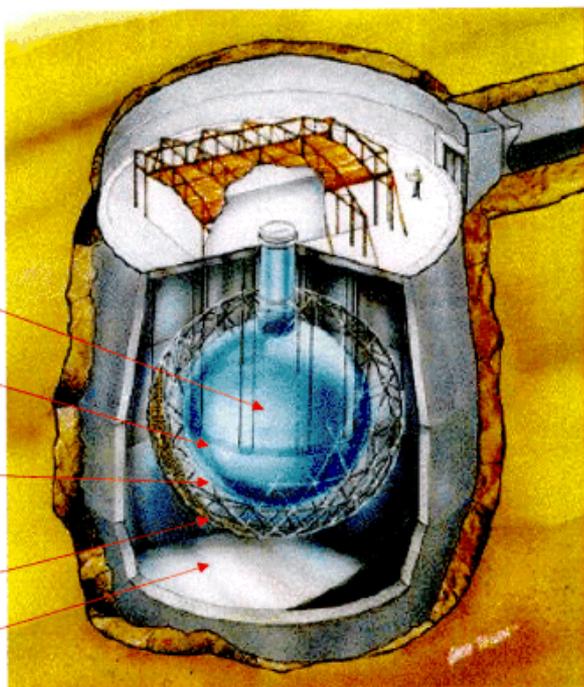
1000t D₂O

12 m dia.
Acrylic Sphere

1700t H₂O

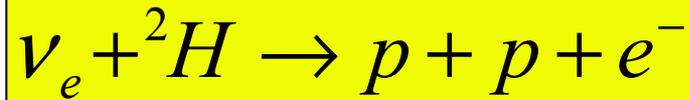
9529 PMT's

5300t H₂O



The SNO detector recently started to produce data

SNO: 1kton D₂O

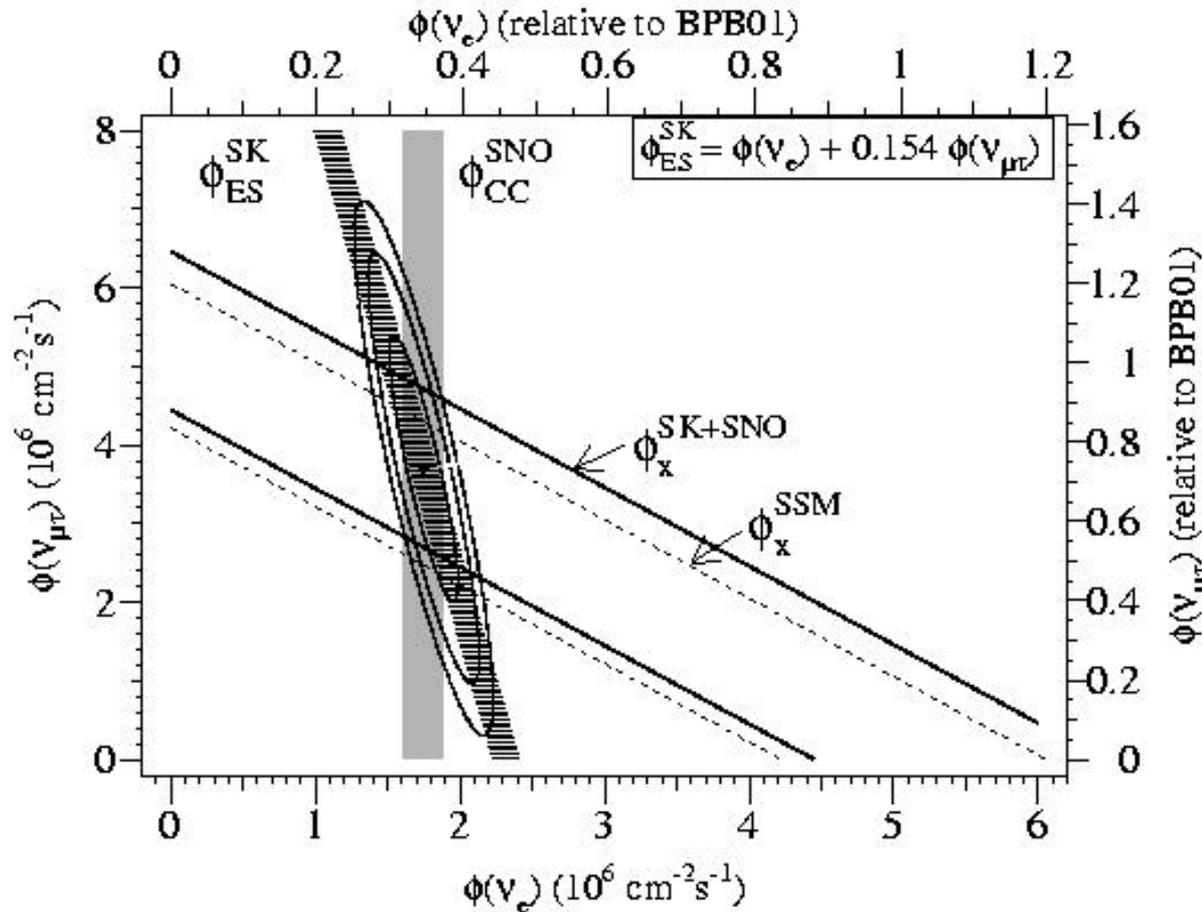


sensitive to ν_e only

SuperK:



sensitive to a ν_e, ν_x mix

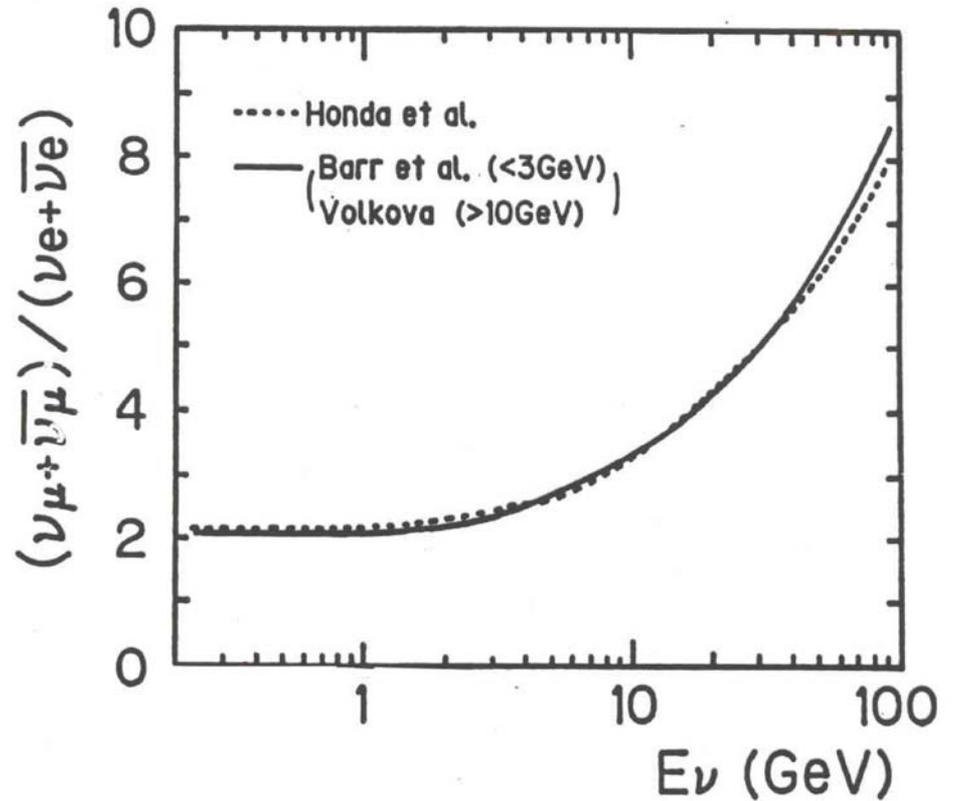
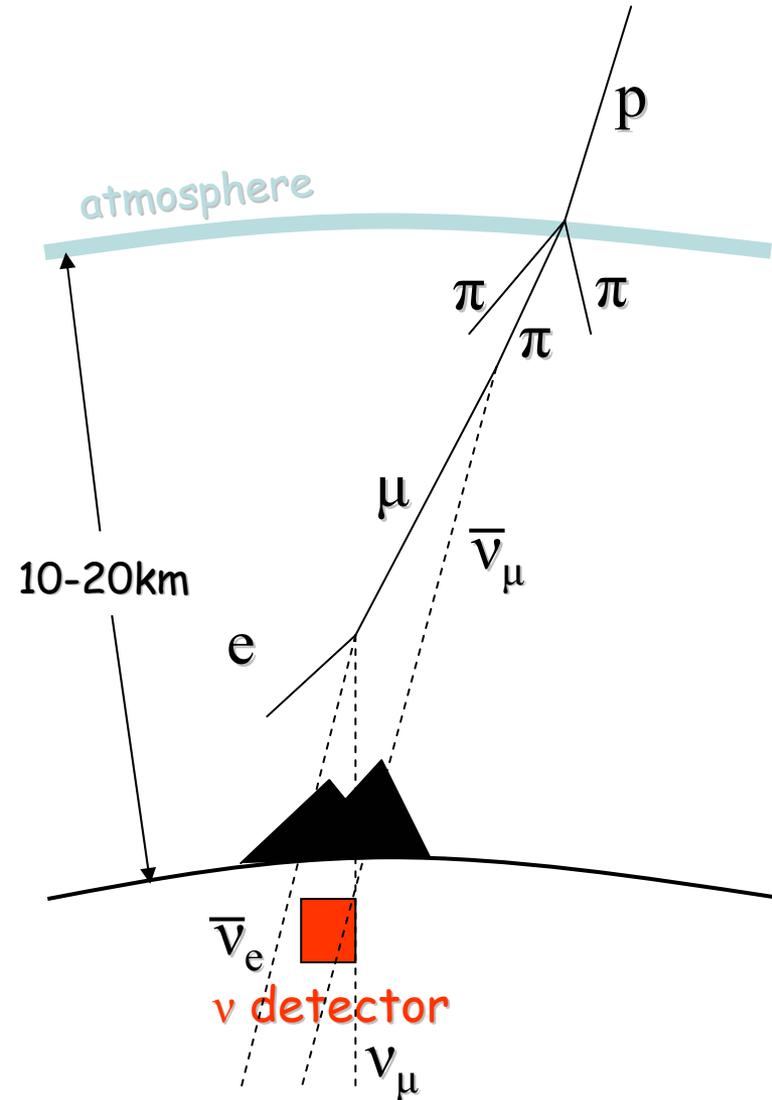


$$\phi(\nu_{\mu,\tau}) = 3.69 \pm 1.13 \times 10^6 \text{ cm}^{-2} \text{ s}^{-1}$$

$$\phi(\nu_e) = 5.44 \pm 0.99$$

It appears like some ν_e change flavor!

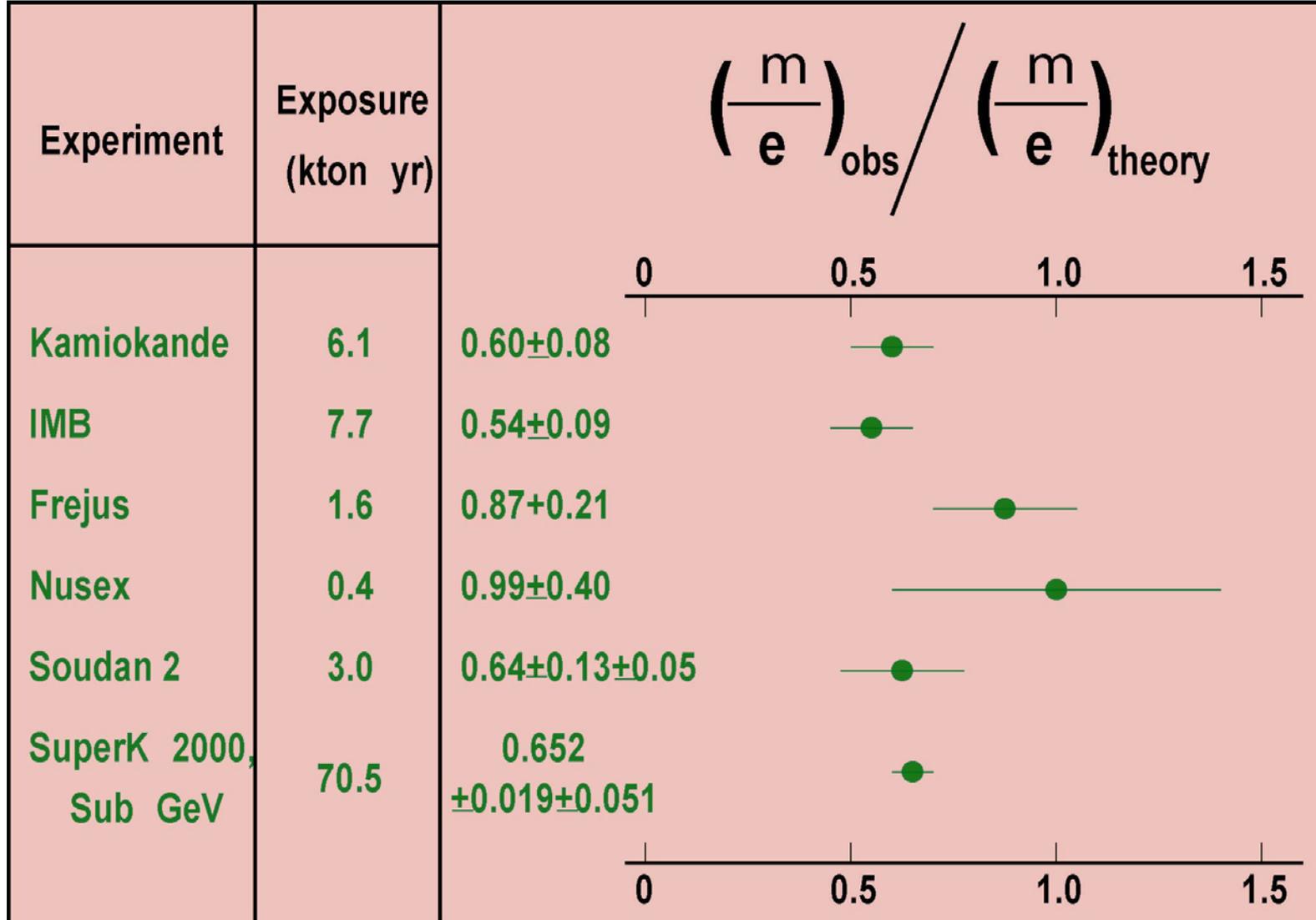
More hints: Atmospheric Neutrinos



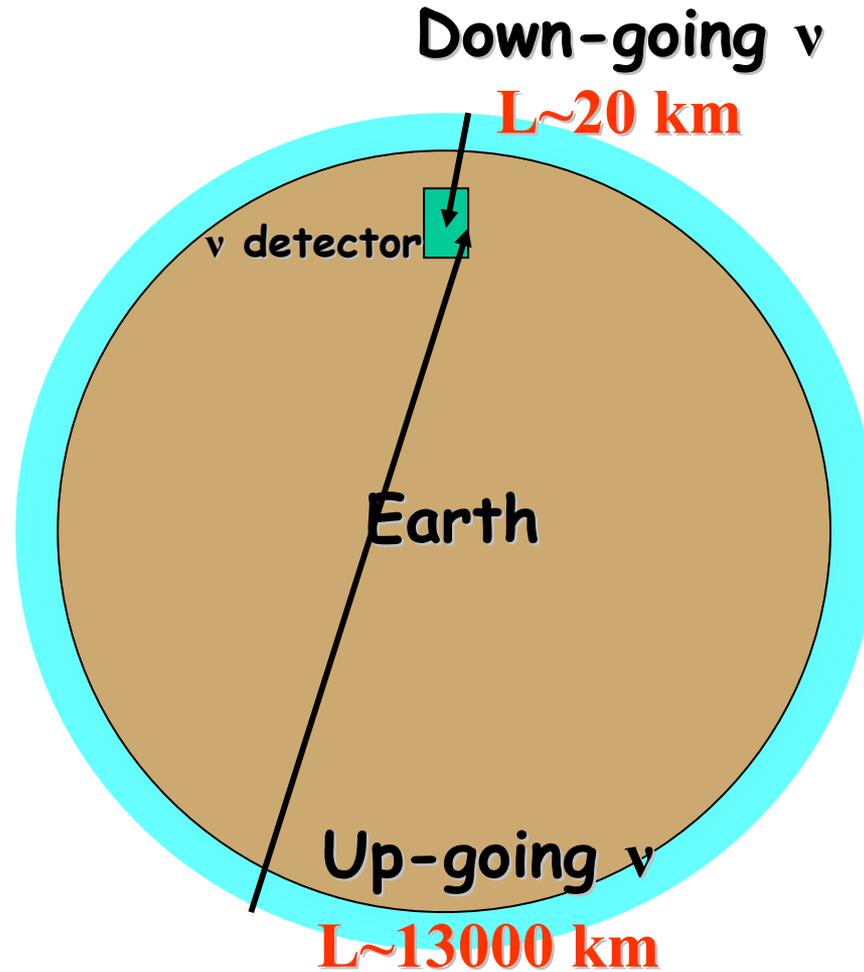
At low energy (\sim GeV) expect

$$N_{\nu_\mu} \cong 2N_{\nu_e}$$

Atmospheric Neutrinos: Ratio of Ratios



Is there a way to tell if the effect is due to neutrino properties ?

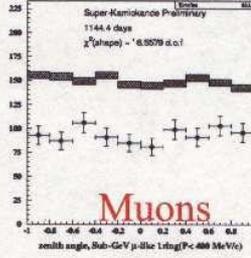
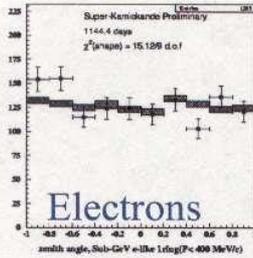


What do we see?

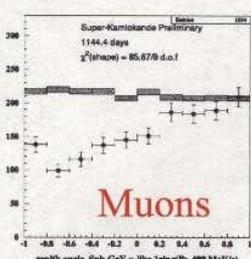
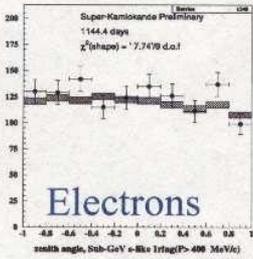
Indeed around 1 GeV
the ν_μ flux depends
on the angle !!

At higher and lower
energies the angular
behavior is consistent
with oscillations

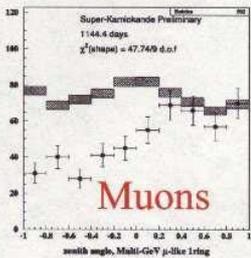
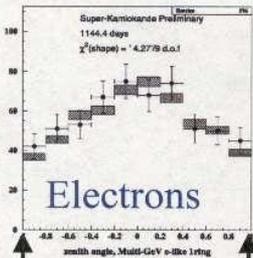
ν_e do not seem to
have an oscillation
pattern (more later)



Sub-GeV
 $E < 400$ MeV



Sub-GeV
 $E > 400$ MeV
 $E < 1.33$ GeV

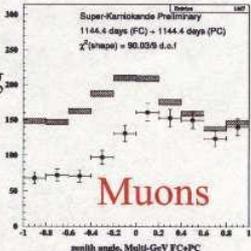


Multi-GeV
 $E > 1.33$ GeV

Up-going

Down-going

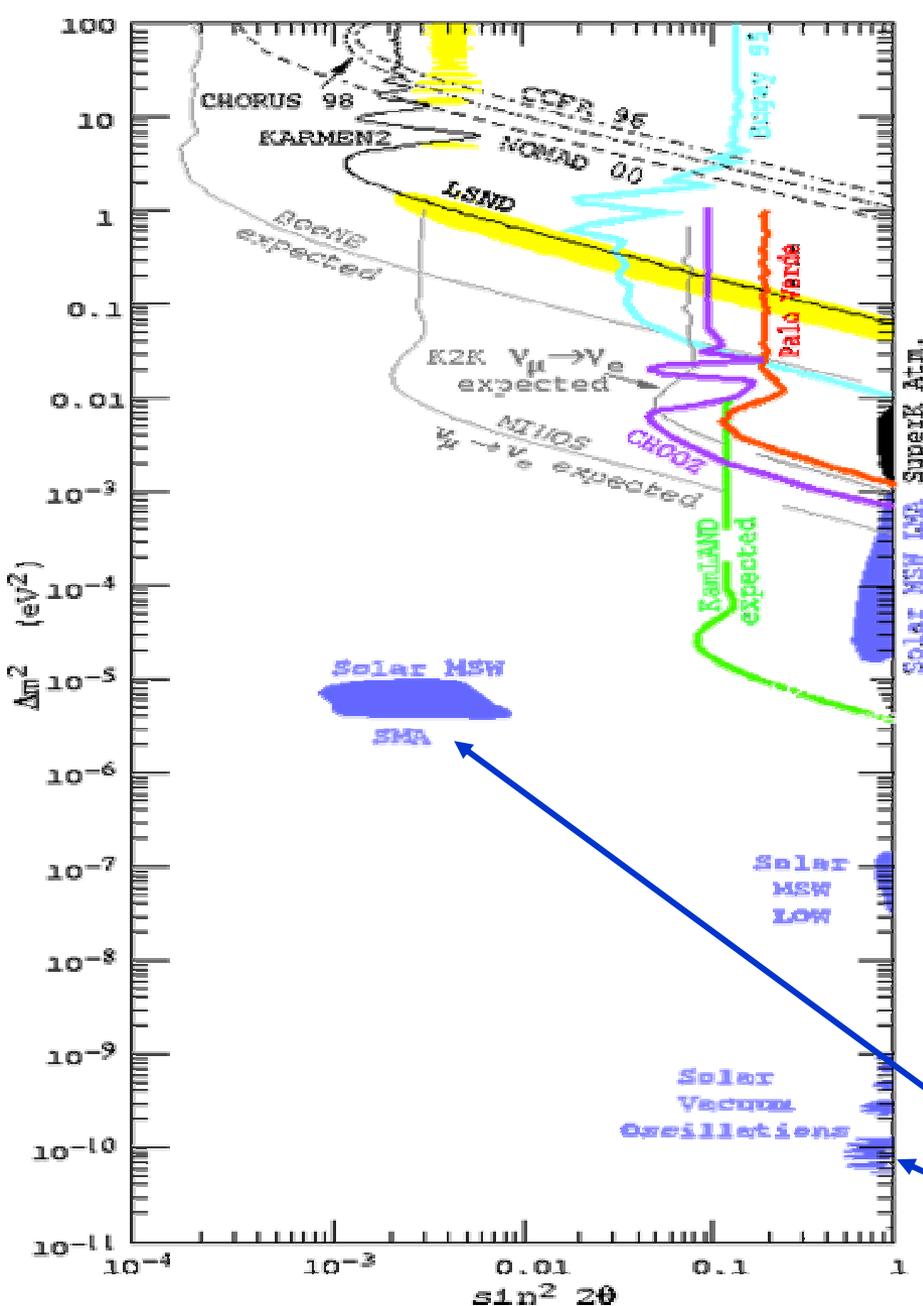
FC+PC events
 $E > 1.33$ GeV



Up going

Down going

Interpreting solar and atmospheric neutrino anomalies as due to oscillations we can draw the parameters $\sin^2 2\theta$ and Δm^2 consistent with the experiments

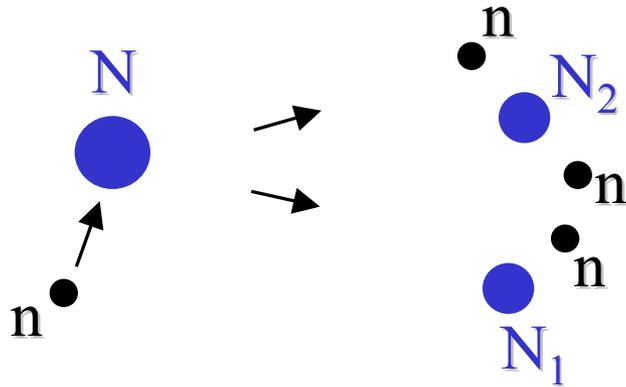


$$P(\nu_e \rightarrow \nu_\mu, L) = \sin^2 2\theta \sin^2 \frac{1.3\Delta m^2 L}{E}$$

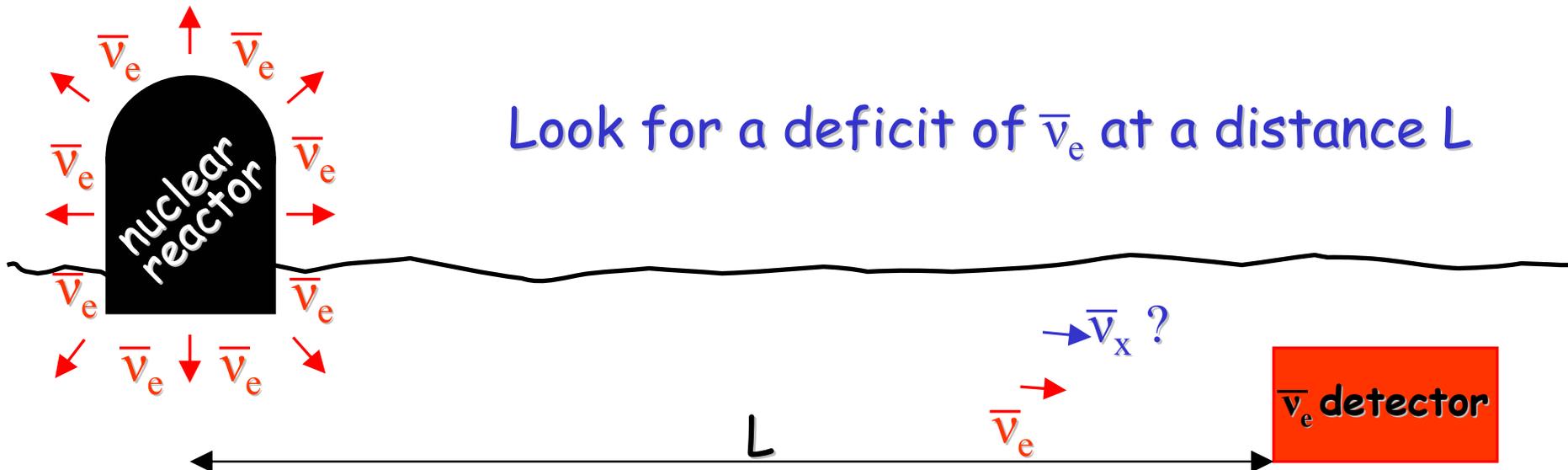
Mostly ruled out by the recent SNO results

**How to check that neutrino oscillations
occur without relying on
extra-terrestrial sources ?**

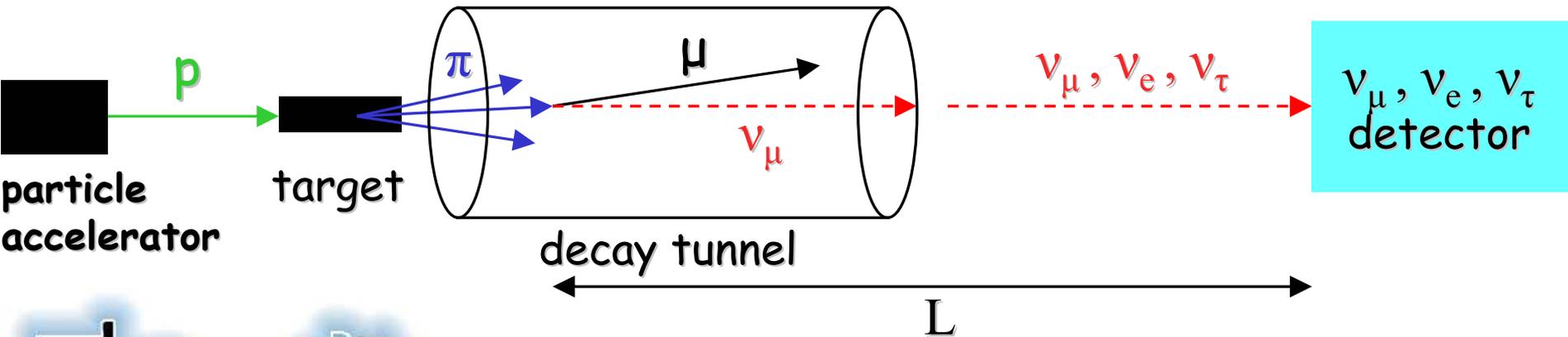
Nuclear reactors are very intense sources of $\bar{\nu}_e$ deriving from beta-decay of the neutron-rich fission fragments



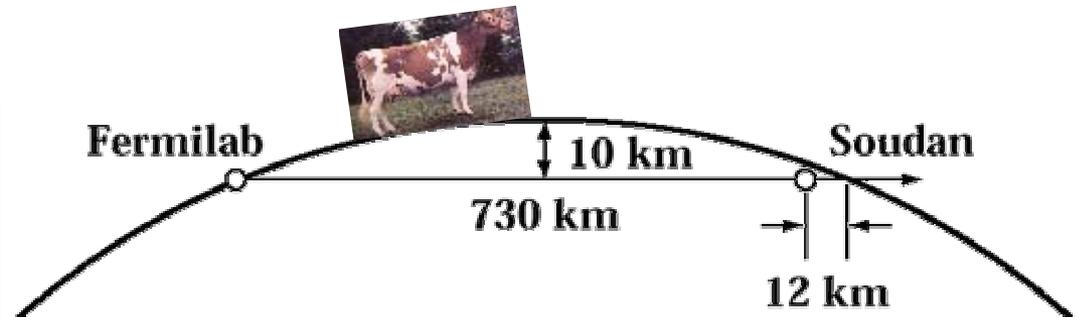
N_1 and N_2 still have too many neutrons and decay
 $N_2 \rightarrow N_3 + e^- + \bar{\nu}_e$
(this is why reactor spent fuel is radioactive)



Neutrinos can also be produced in particle accelerators



The MINOS experiment will study neutrino oscillations with neutrinos produced at Fermilab and detected in the Soudan mine in Minnesota



Complementary properties of Reactors and Accelerators

Reactors

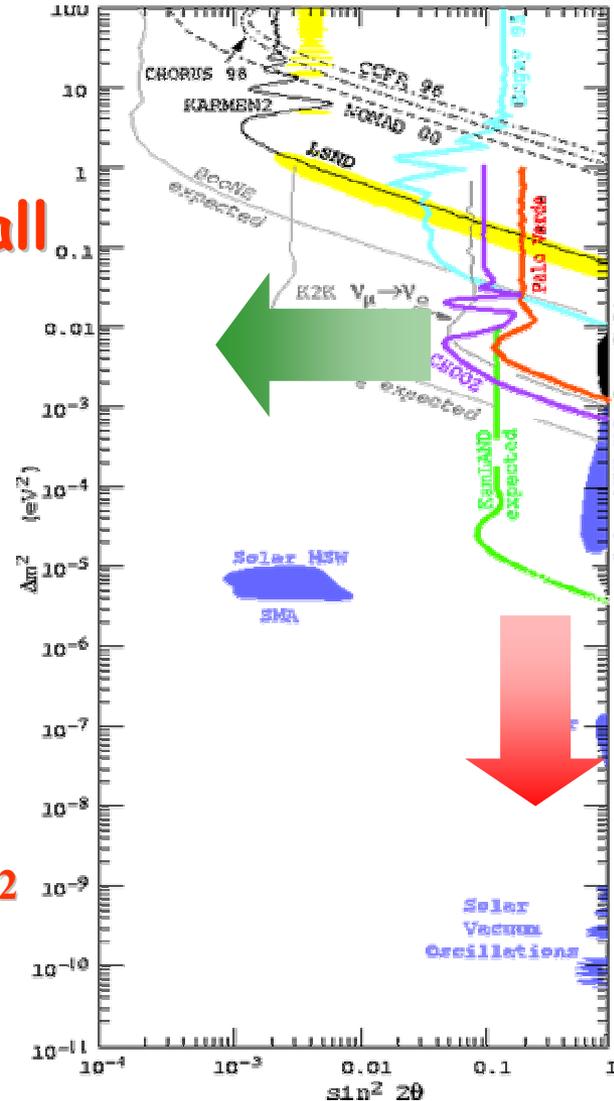
Accelerators

$E_\nu \sim \text{few MeV}$

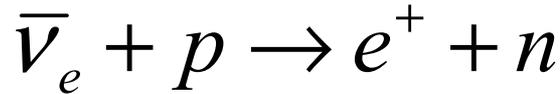
$E_\nu \sim \text{few GeV}$

- Can probe very small Δm^2
- Disappearance only
→ fair $\sin^2 2\theta$ sensitivity
- 4π source
→ detector mass grows with L^2

- Good mass sensitivity requires very large L
- Appearance possible (produce μ and τ)
- (More) collimated beam

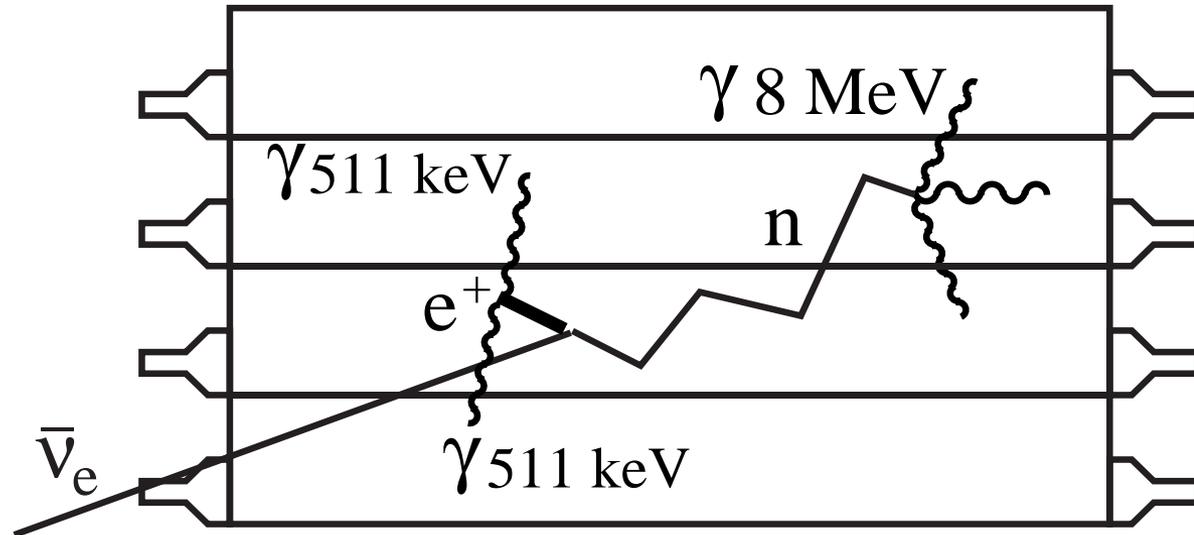


Particle detection at low energy rather delicate: beware of backgrounds !



- Large(r) cross-section
- Specific signature

- e^+ kinetic energy (<8 MeV)
- 2 annihilation γ s (0.5 MeV)
- neutron capture (2 to 8 MeV)

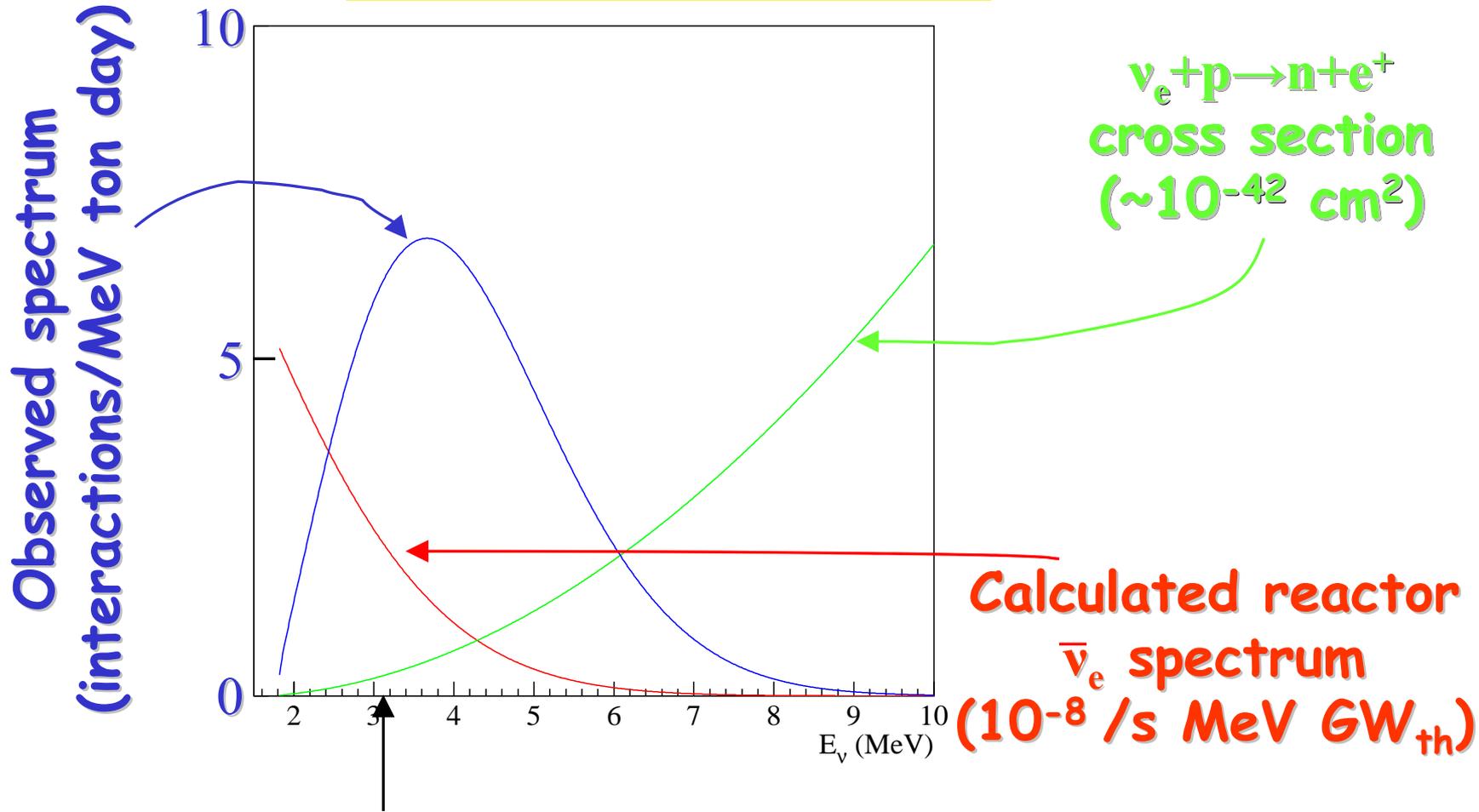


Neutrino energy measured from positron energy



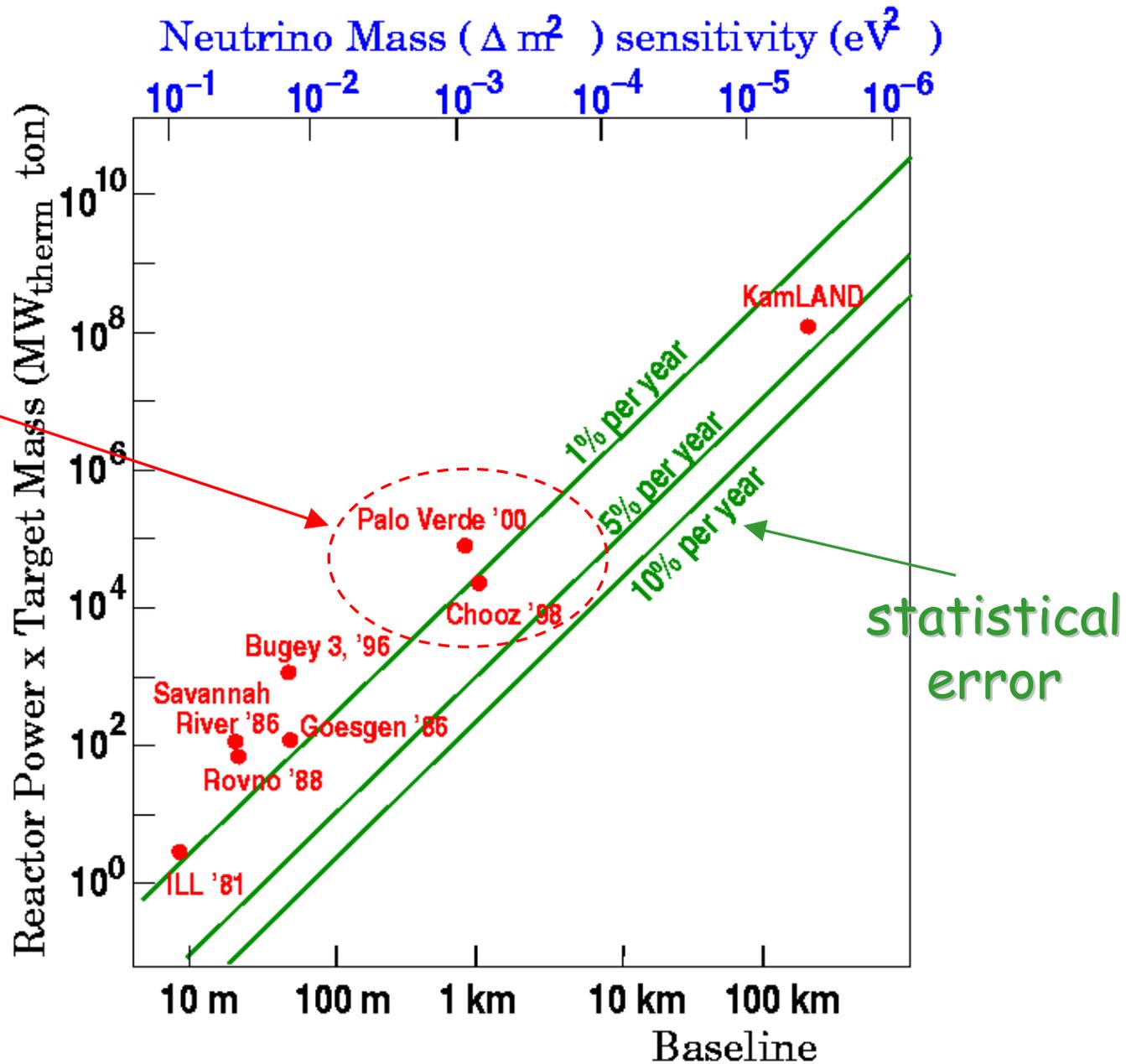
$$E_{\bar{\nu}} \cong E_{e^+} + (M_n + M_p) + m_{e^+}$$

The $\bar{\nu}_e$ energy spectrum

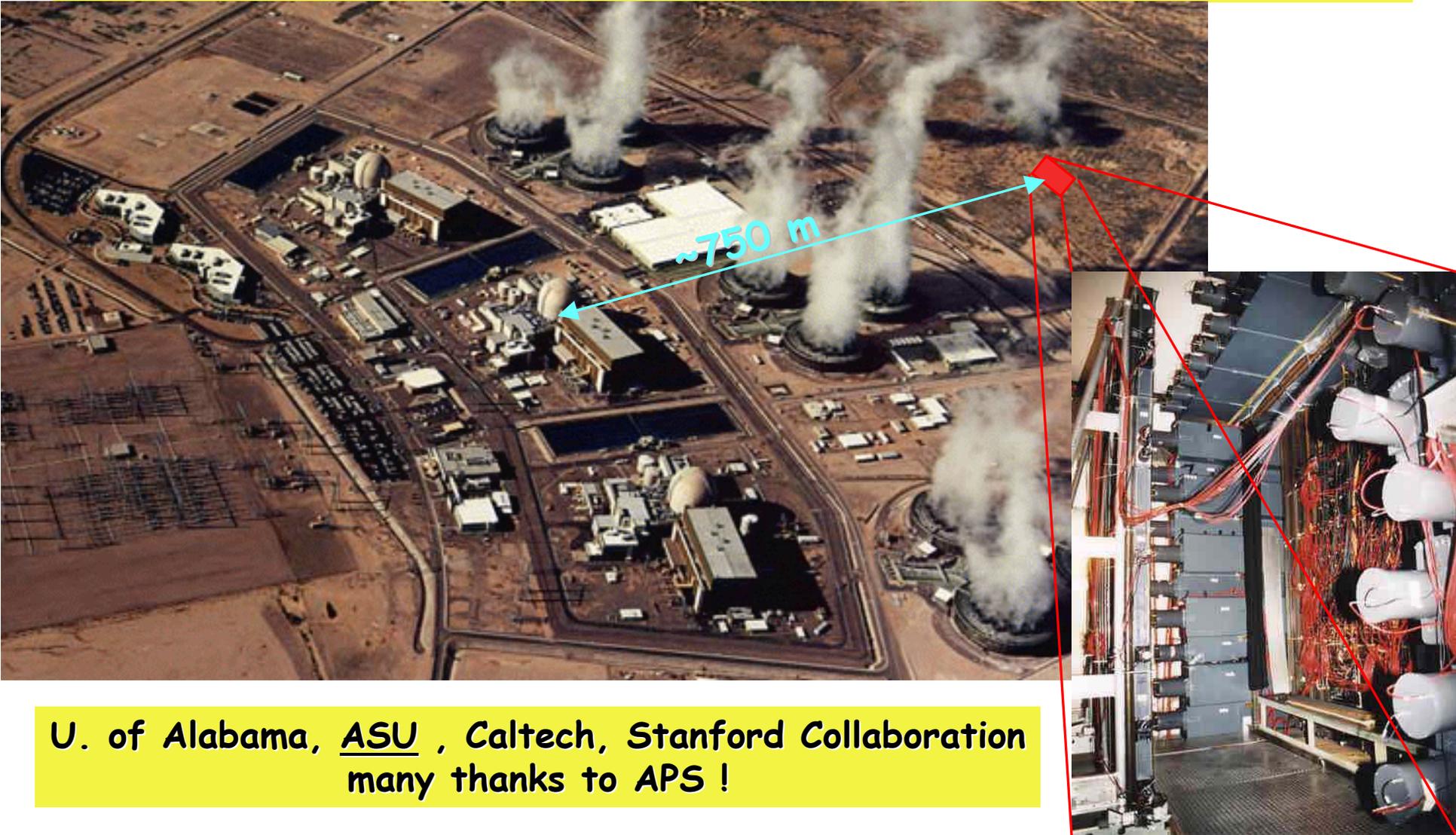


*Neutrinos with $E < 1.8 \text{ MeV}$
are not detected*

2 detectors optimized to check if the atm. neutrino anomaly is due to $\nu_e - \nu_x$ oscillations

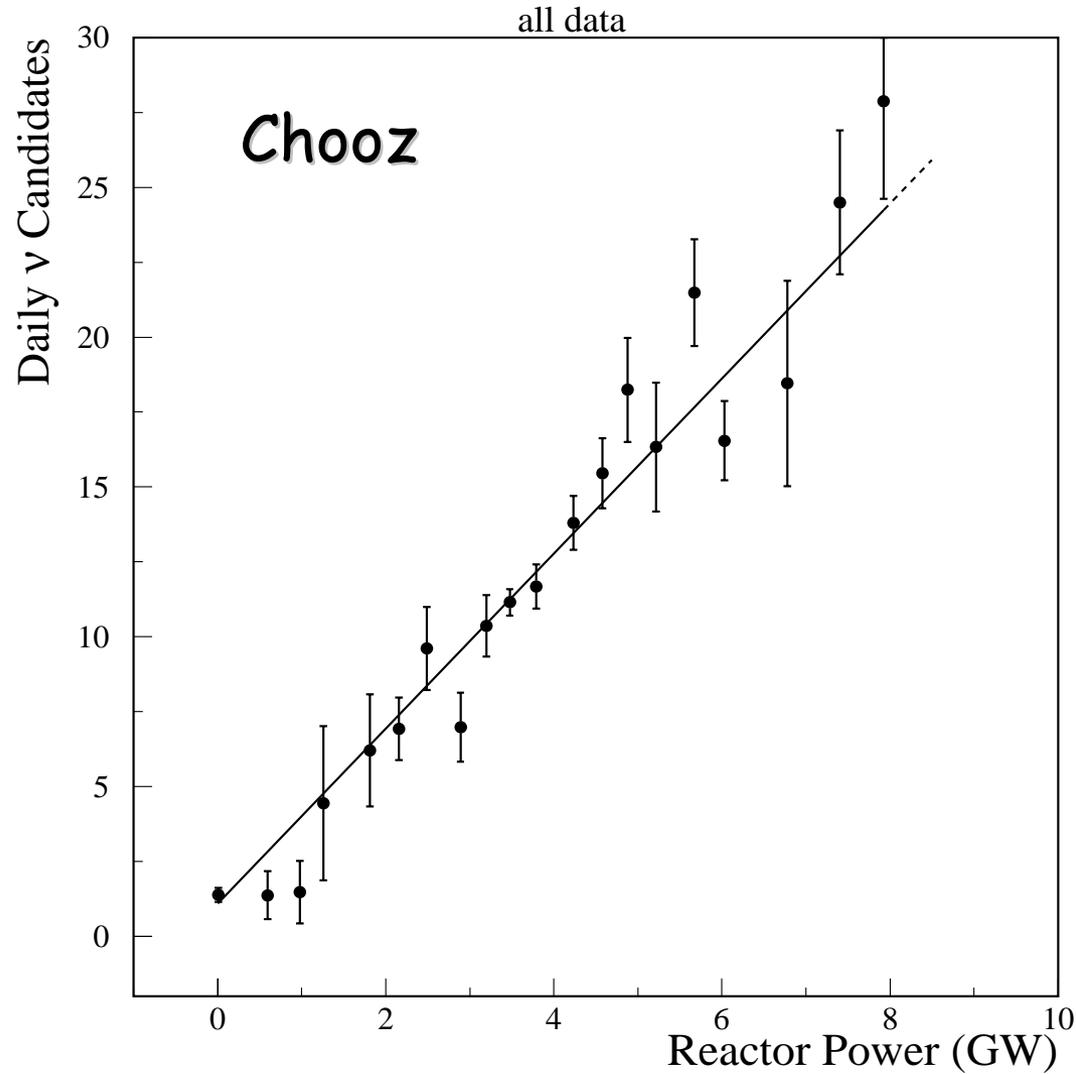


The neutrino oscillation experiment at the Palo Verde Nuclear Generating Station (AZ)



U. of Alabama, ASU , Caltech, Stanford Collaboration
many thanks to APS !

The detected neutrino rate is proportional to the reactor's thermal power



neutrinos detected
neutrinos expected

1.01 ± 0.04 Chooz

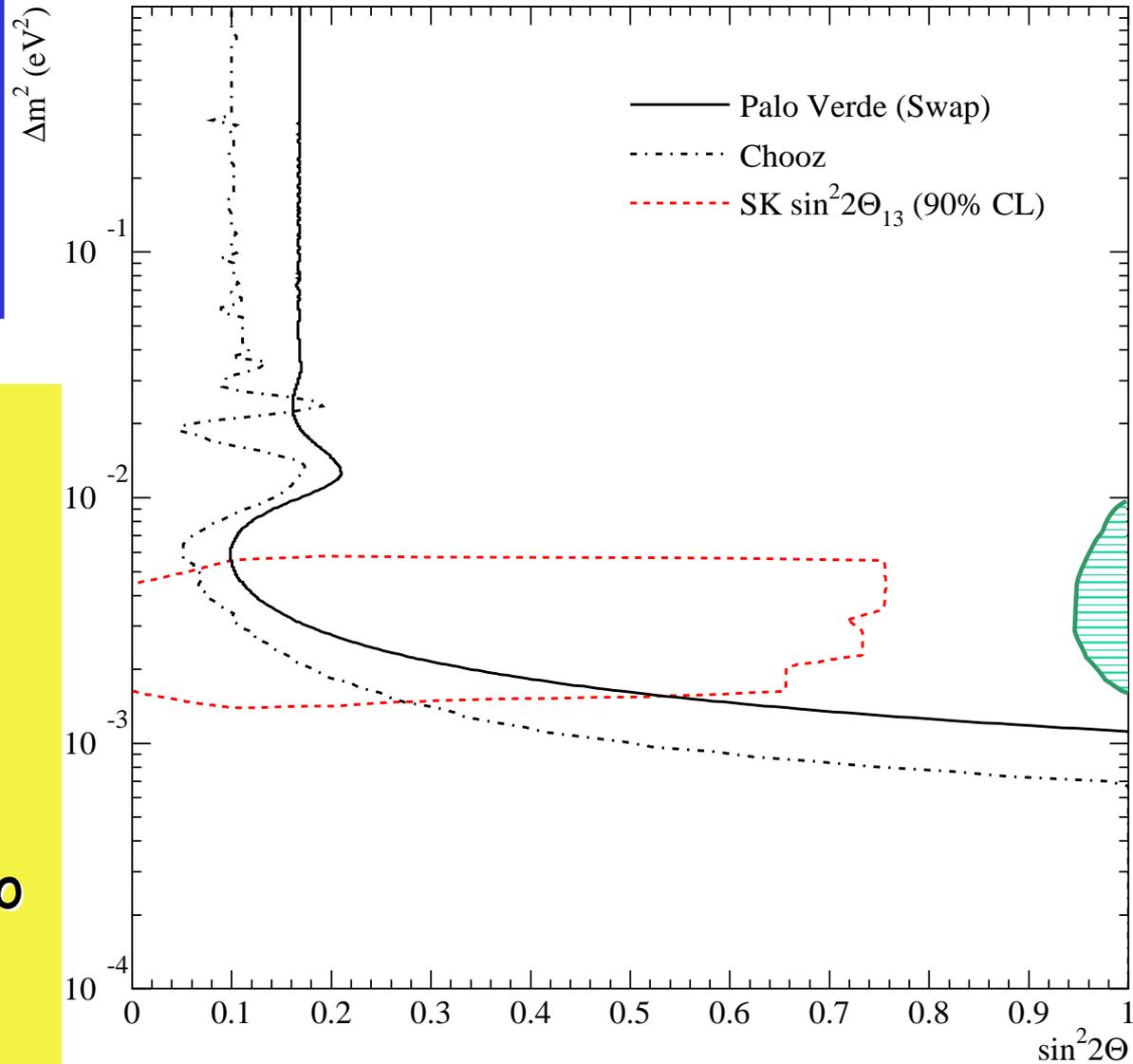
1.04 ± 0.08 Palo Verde

Conclusion:

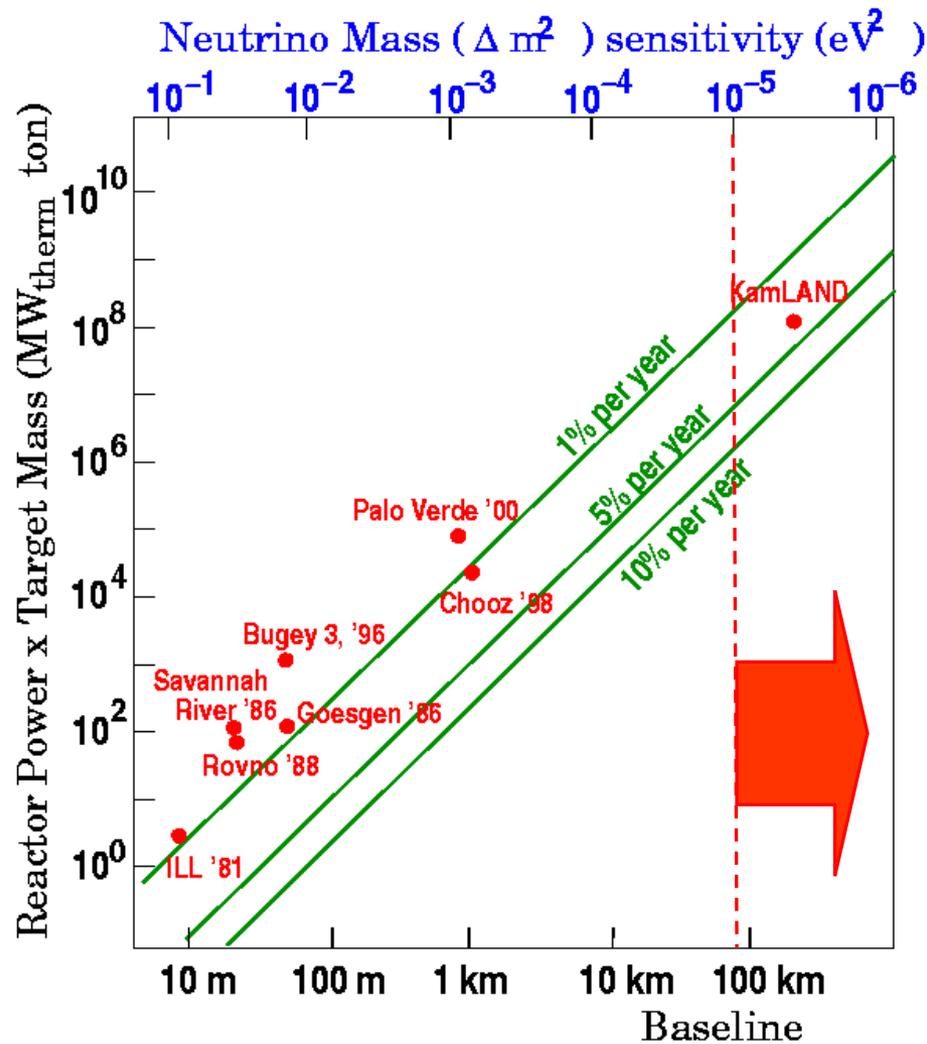
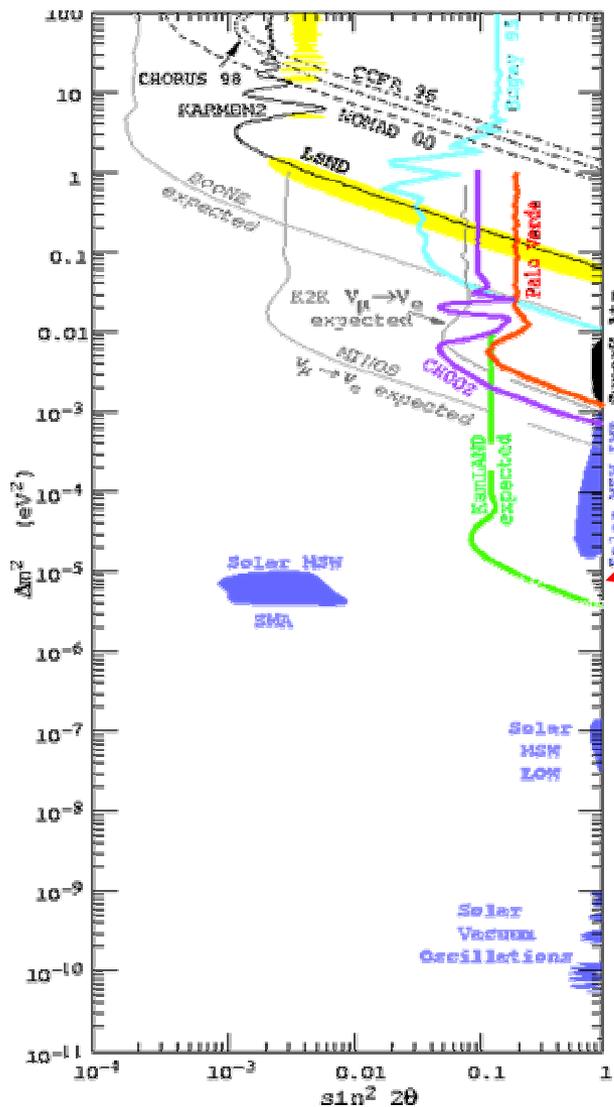
Chooz and Palo Verde
saw no evidence for
neutrino oscillations
involving ν_e

down to 10^{-3} eV^2

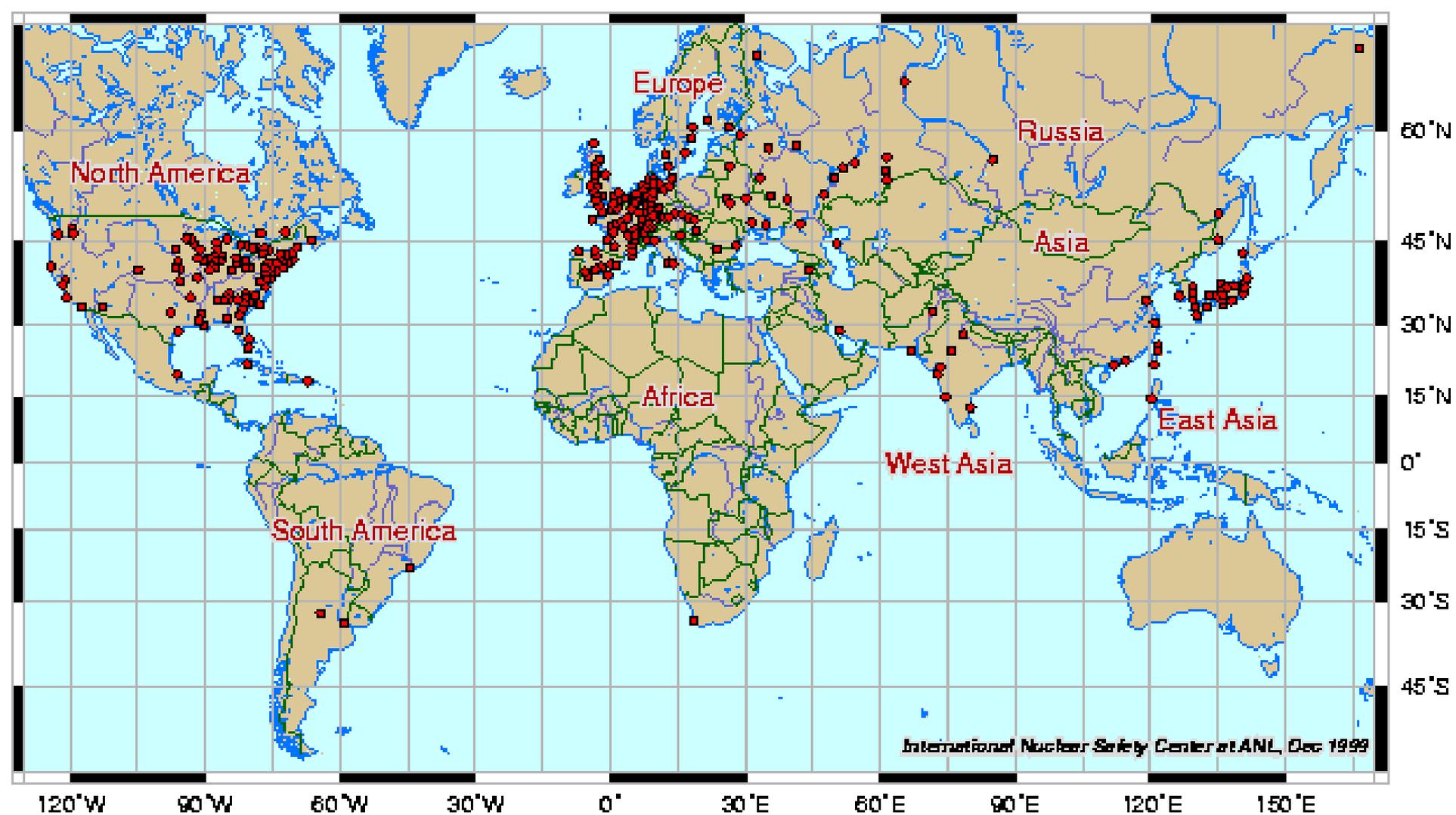
→ Atmospheric neutrino
oscillations are
mainly $\nu_\mu - \nu_\tau$



To access the region interesting for solar neutrino $\Delta m^2 < 10^{-5} \text{ eV}^2$ need 100 km baseline



Need to think regionally: large concentration of nuclear power plants exist in Europe, eastern US and Japan



KamLAND is a collaboration between

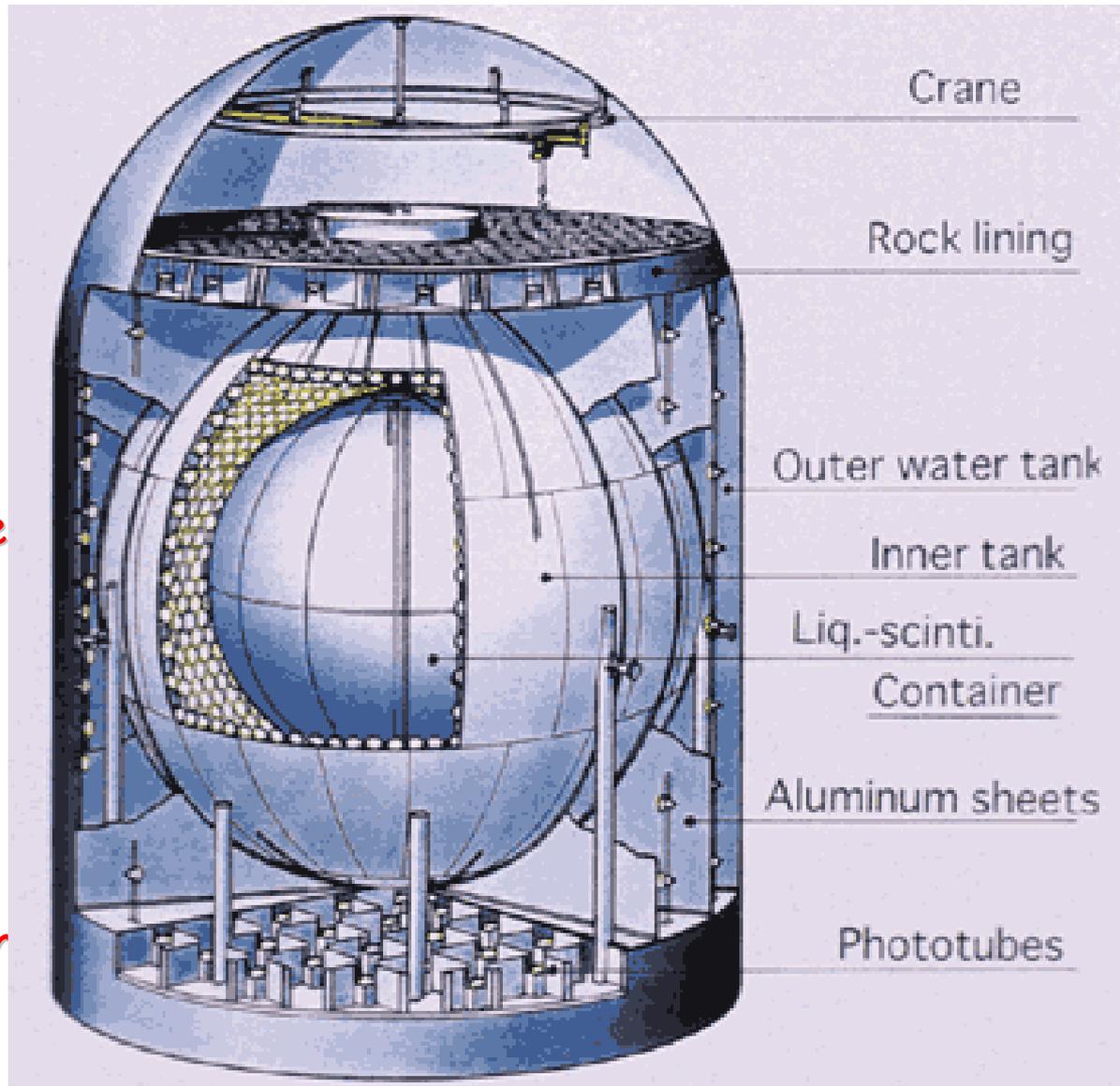
Tohoku, KEK
Japan

Alabama, Berkeley,
Caltech, Drexel,
Hawaii, New Mexico,
Stanford,
Tennessee, TUNL
USA



The KamLAND detector

- 1000 ton liquid scintillator detector in the Kamiokande cavern
- ~1300 17" fast PMTs
- ~700 20" large area PMTs
- 30% photocathode coverage
- H₂O Cerenkov veto counter
- Multi-hit deadtime-less electronics
- Δm^2 sensitivity $7 \cdot 10^{-6} \text{ eV}^2$
LMA-MSW solution within reach on the earth!



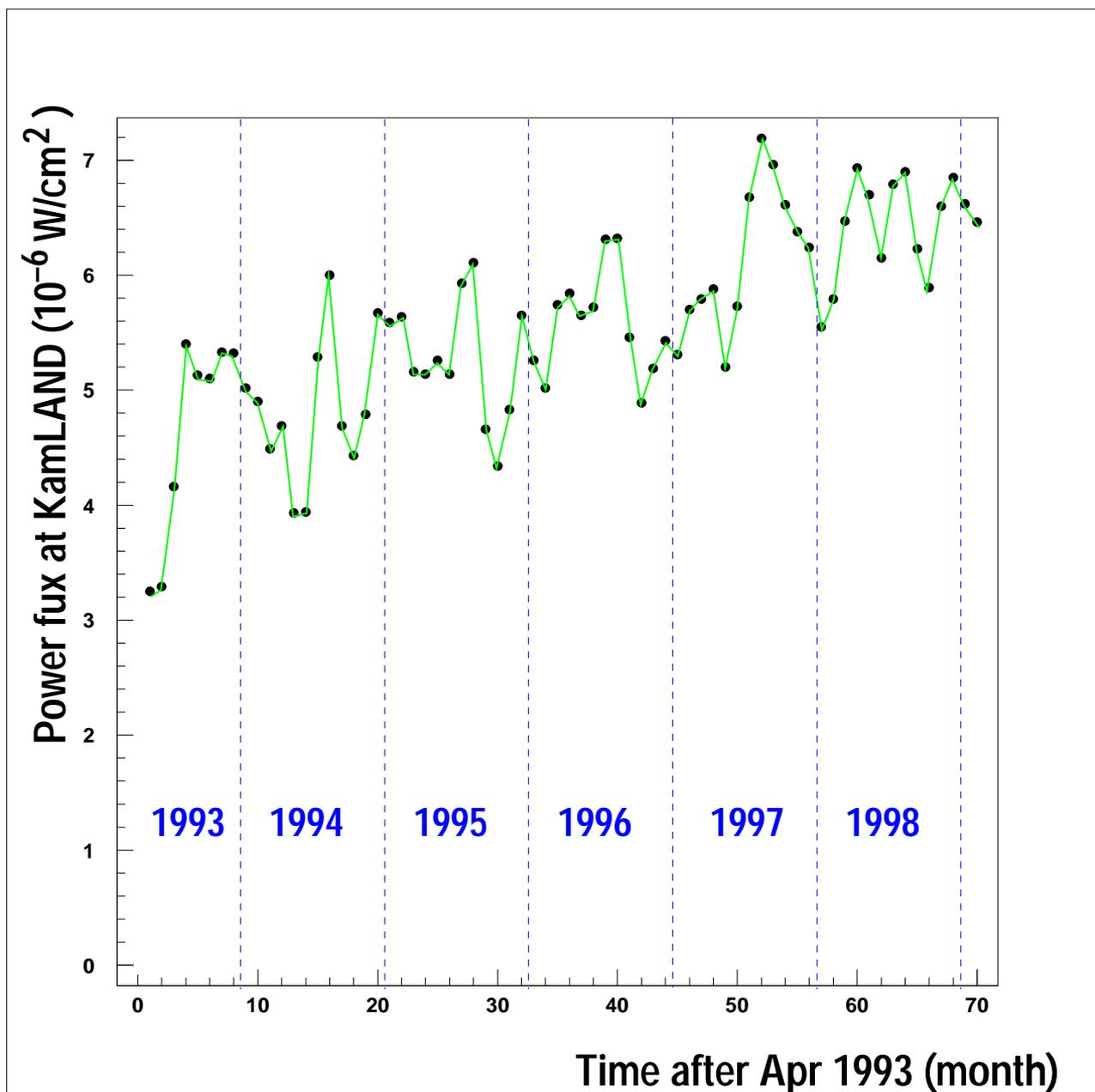
**Baseline is limited: 85.3% of signal
has $140 \text{ km} < L < 344 \text{ km}$**

- Signal from reactors is ~ 2 events/day
- Background from natural radioactivity and cosmic-rays expected to be 10 to 20 times smaller

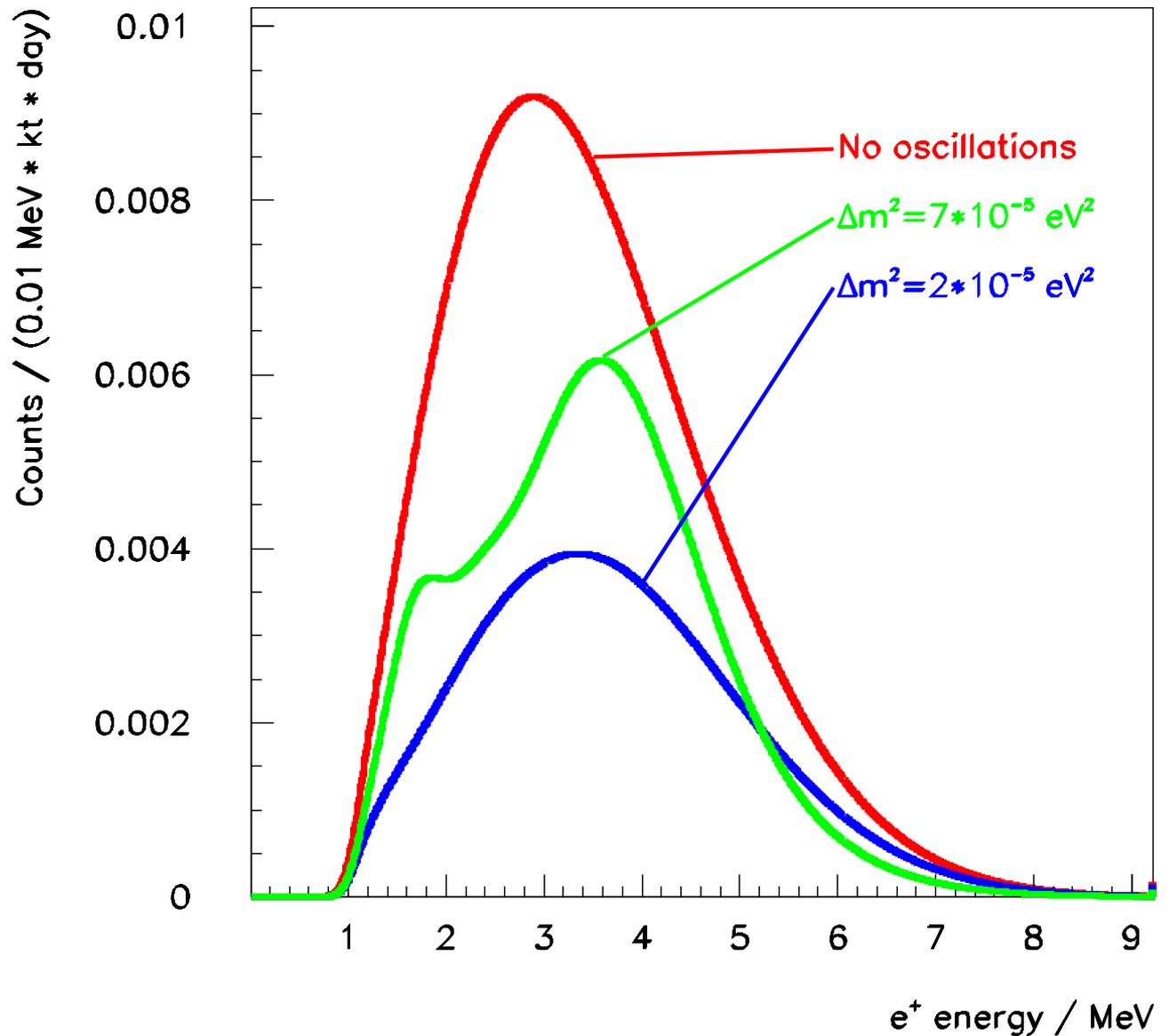
**The total electric power produced
“as a by-product” of the neutrinos is:**

- $\sim 60 \text{ GW}$ or...
- $\sim 4\%$ of the world's manmade power or...
- $\sim 20\%$ of the world's nuclear power

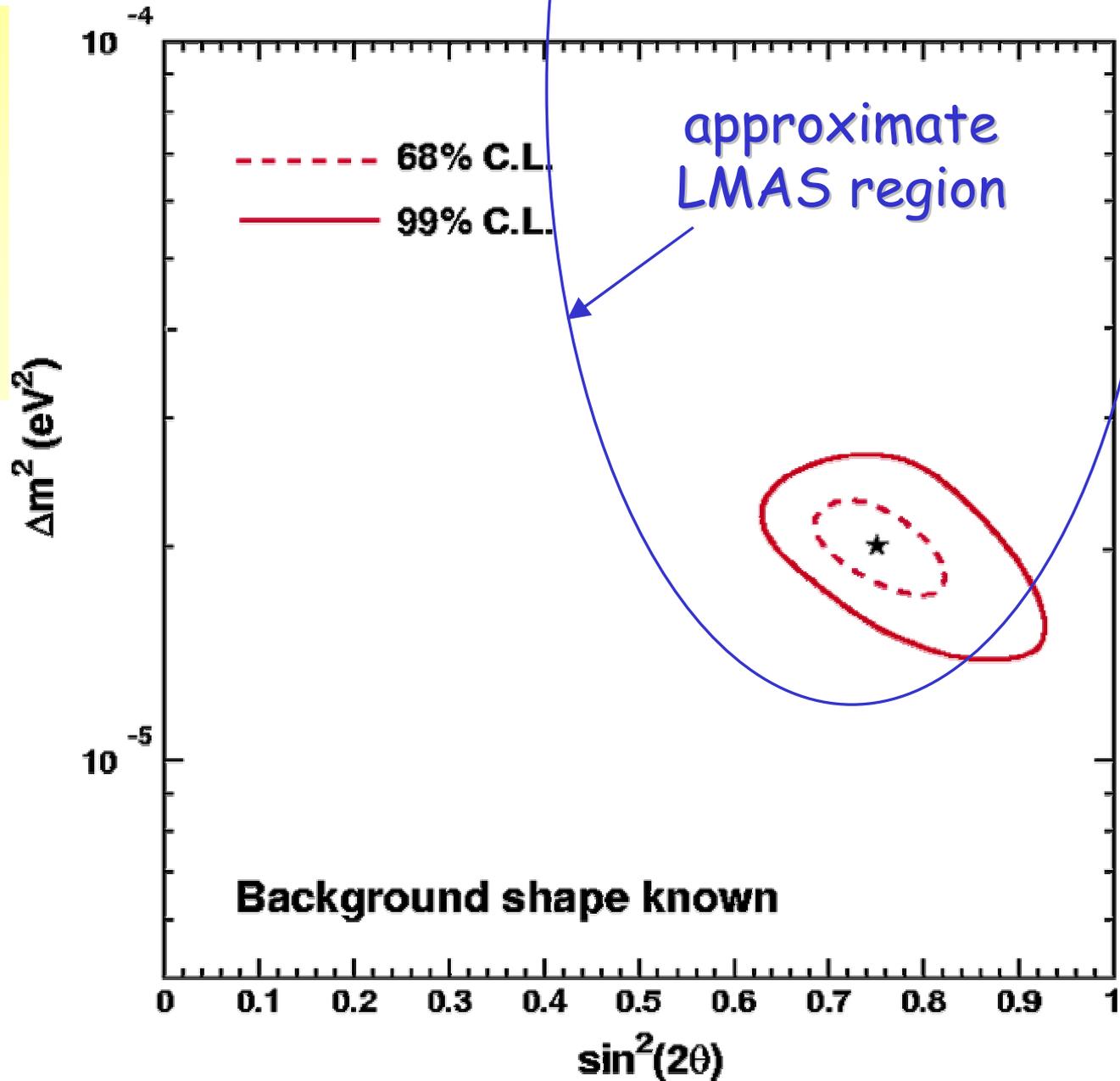
*An large nuclear submarine “parked with the engines running at full throttle” in Toyama bay would give a 10% excess in our signal
→ not a problem*



Neutrino oscillations in KamLAND could result in distortion of the energy spectrum along with a deficit of detected events



...if oscillations
are detected
very accurate
measurement
possible !



Cleaning the KamLAND sphere (Summer 2000)



Nov 7, 2001

Neutrinos - CASW Annual Briefing

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Installing PMTs in KamLAND (Summer 2000)

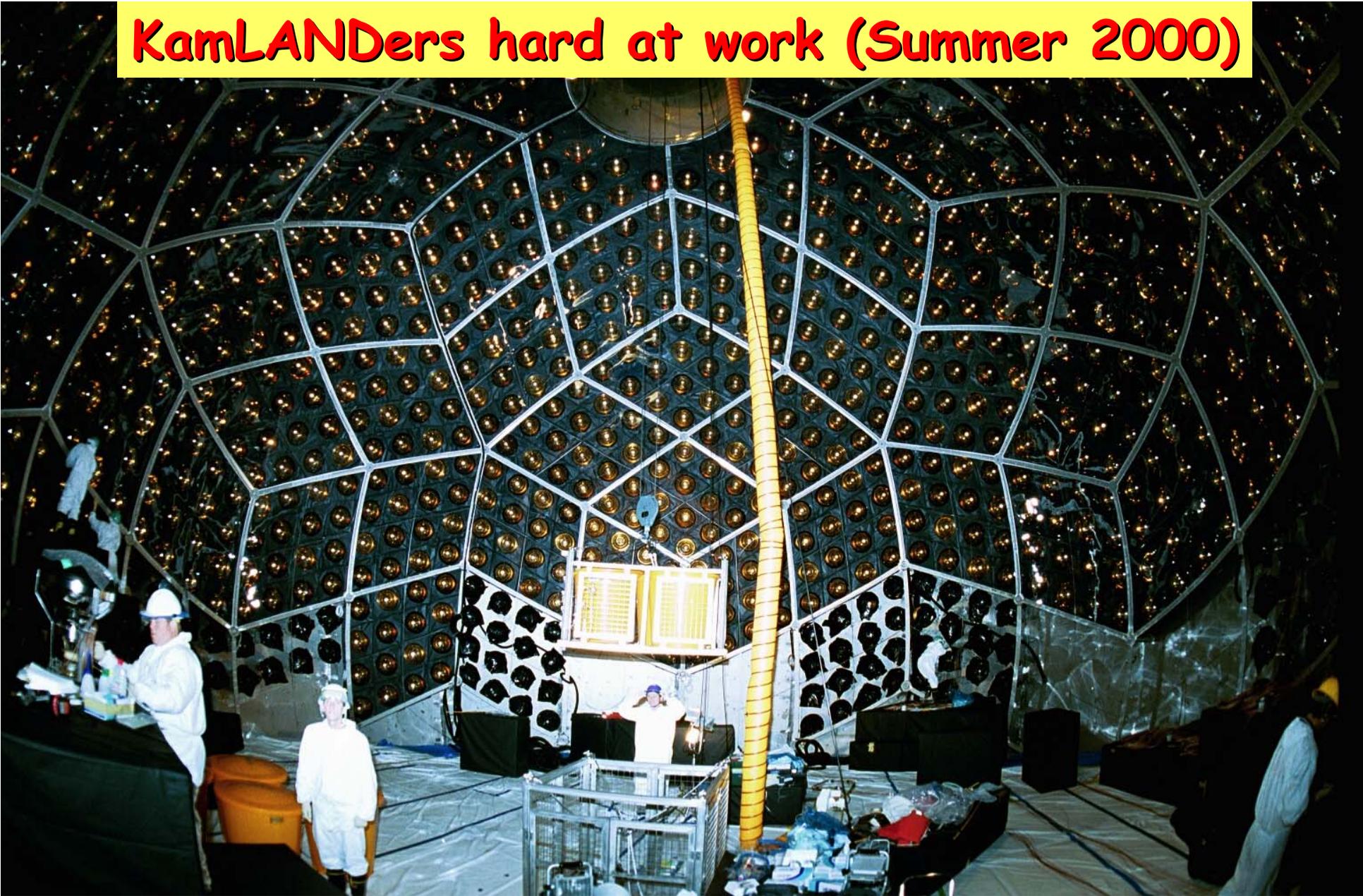


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KamLANDers hard at work (Summer 2000)

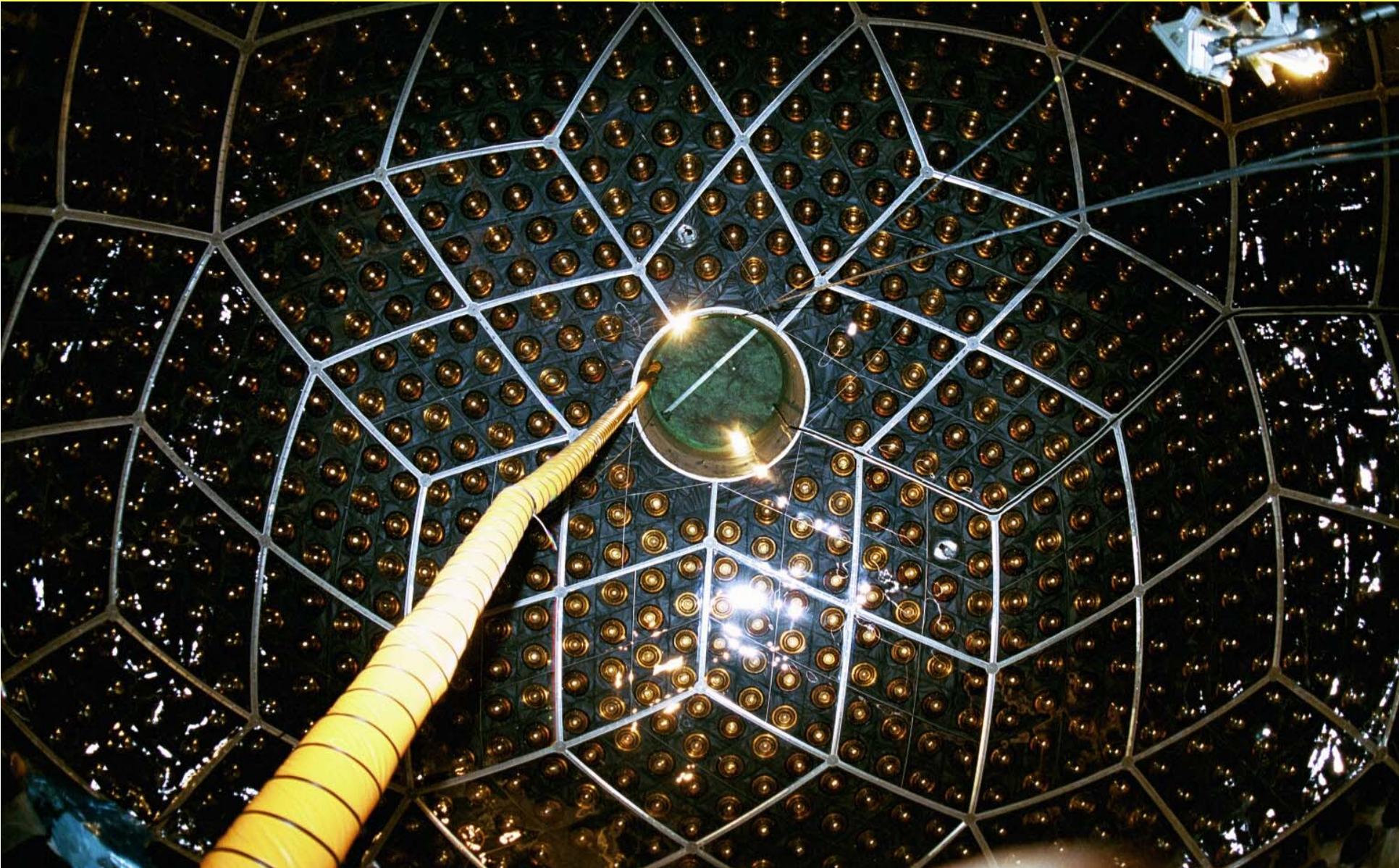


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The completed detector, looking up



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Balloon installed (Apr 2001)



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Receiving a paraffine load in the mine



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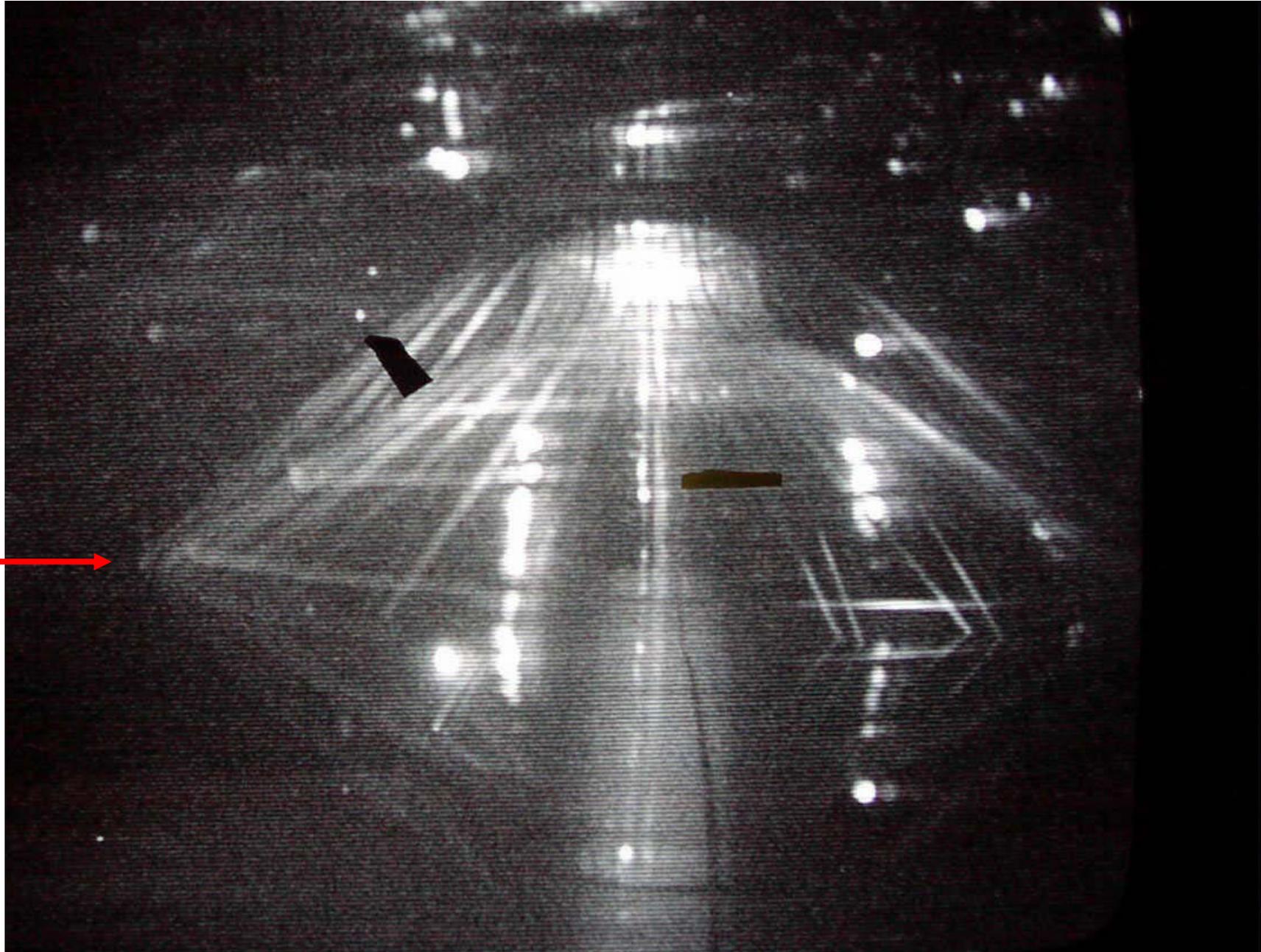
- Pseudocumene and paraffine oil of two different densities are blended to obtain 20% pseudocumene concentration inside the balloon and same density outside.

- PPO concentration is 1.5 g/l of the final blend.

- During blending the liquids are pre-purified, closed circulation and re-purification are started at the end of the fill.



Liquid level in KamLAND on Sept 11, 01



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KamLAND is full since Sept 30, 2001

Now testing electronics, calibrating...

Stay tuned for results !

Allright, this is lots of fun but...
can we use these neutrinos for something useful ?!

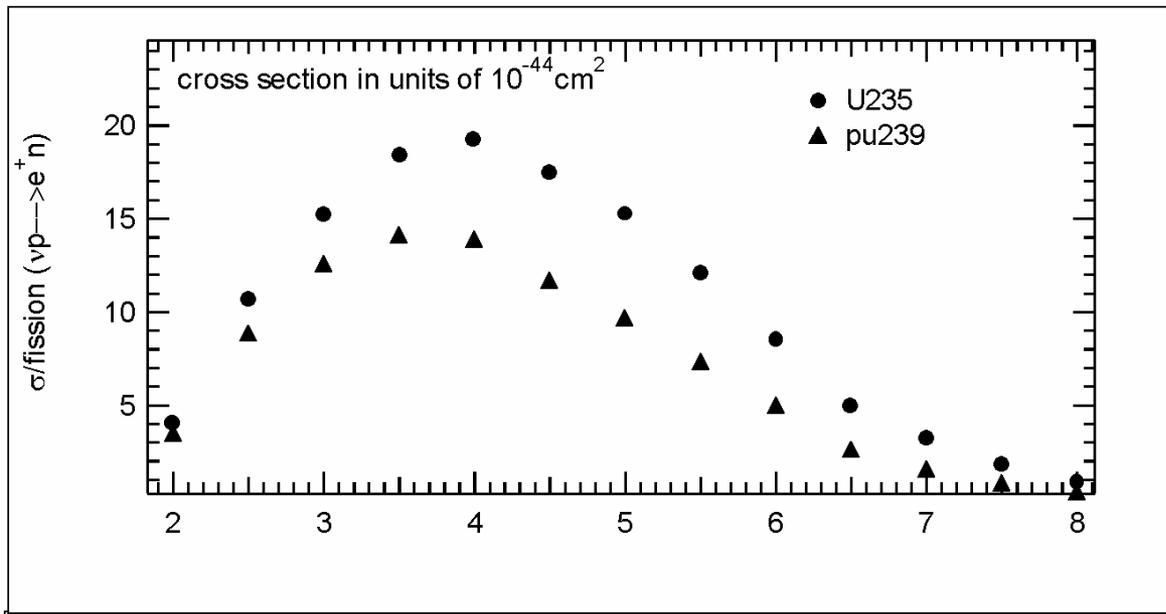
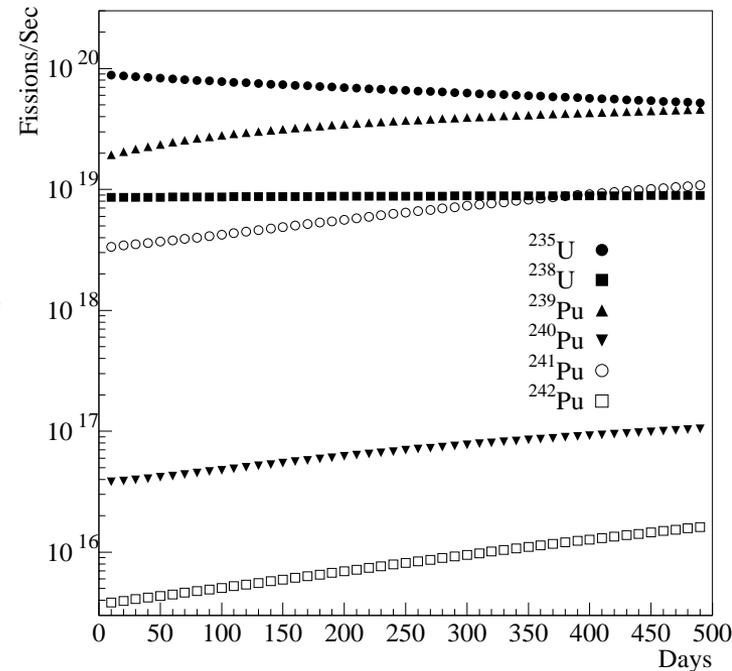
The answer is usually "no", among other things
because neutrinos are so difficult to detect...

*The mean free path in lead for a reactor
antineutrino is about 0.1 light year !
(a good fraction of the distance to the closest star)*

But lets go back to a device that makes LOTS of
antineutrinos: **a nuclear reactor**

We can turn things around and use antineutrinos to
"peek inside" the reactor's core
(neutrinos don't care that there are heavy walls !)

Most of the power and antineutrinos in a power reactor are produced by the fissions of ^{235}U , ^{238}U , ^{239}Pu and ^{241}Pu



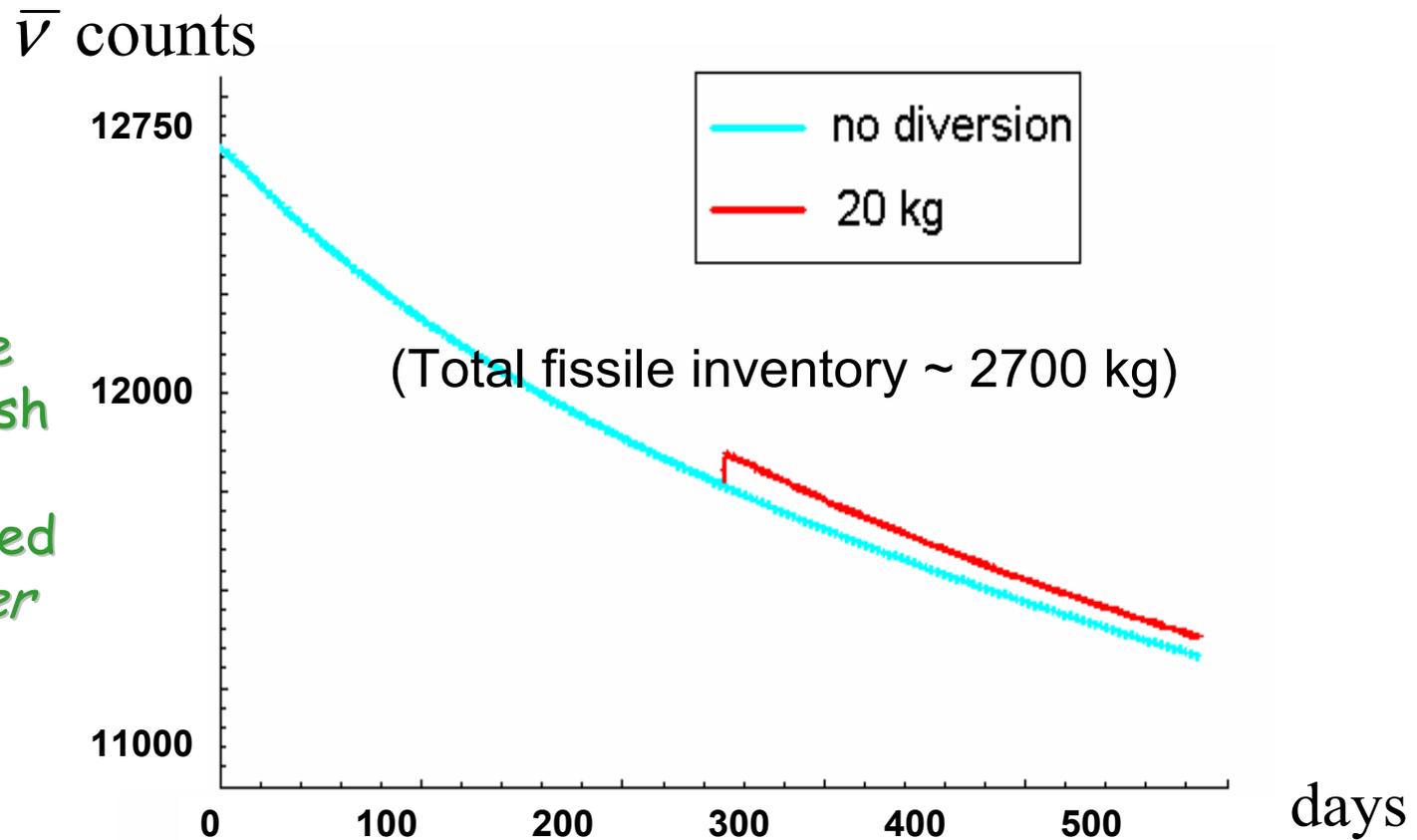
Antineutrino spectrum slightly different for the 4 main isotopes

The antineutrino count rate varies in a known way as Pu is produced even at constant power

- ★ Deviations from the expected trajectory with known power may reveal improper reactor use
- ★ $\bar{\nu}$ rate is directly related to power with known U/Pu ratio

Example:

20 kg of fuel containing Pu are replaced with fresh U and then the reactor is restarted at the *same power level*

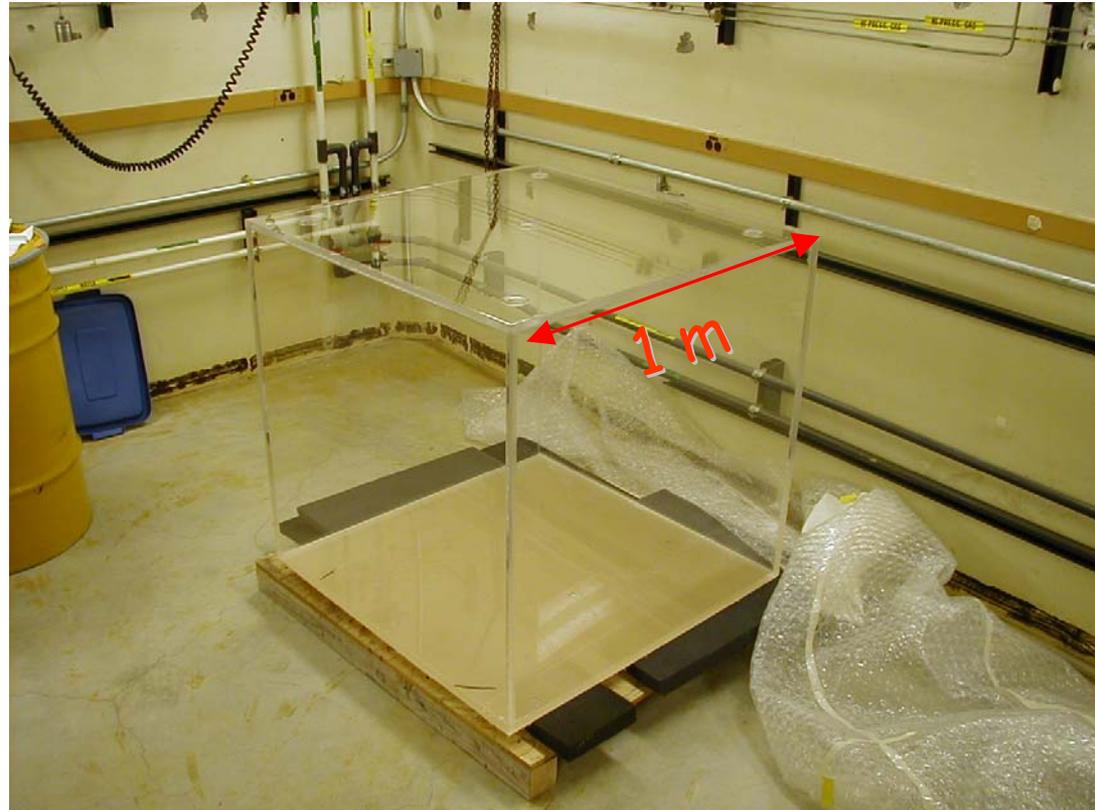


OK, but what about the 0.1 light year... how large is the detector ?

The detector needs
to be very close to
reactor core

For most reactors this
is *not* a problem as
space is available
outside containment
at distances of order
10 m from the core

Of course this can only
work for cooperative
facilities...



*Sandia prototype detector is
1 m³ of liquid scintillator
(A. Bernstein et al.)*

Still, there are many applications...

1) Detect diversion of Pu, probably in conjunction with thermal power measurements

- Current: IAEA Nuclear Non Proliferation safeguards ~200 reactors
- Future: Fissile Materials Cutoff Treaty safeguards ~435 reactors

2) Detect abnormal reactor operations

(atypical Pu buildup, reduced burnup levels)

- Military reactor core reconversion verification
- Pu production agreement verification

Possible
advantages

- Continuous, real-time, quantitative information about core isotopics and/or power
- Non intrusive to reactor operation
- Reduction of manpower needed for inspections, cost effective
- Robust to many countermeasures

Technology demonstration detector being prepared at the San Onofre Nuclear Generating Station (CA)

Set the 1m³ detector in the "tendon gallery" 24.5 m from the core

Heavy reactor building provides shielding from cosmic rays

Expect 2600 ν /day with 40% detector efficiency



Should start data taking soon

Old backgrounds becoming KamLAND's signals...



Fred Reines preparing a neutrino detector (circa 1953)

Nov 7, 2001

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Dear Fred,
Just accued to me that your background neutrinos my just be coming from high energy β -decaying members of U and Th families in the crust of the Earth. Do not have on the train any inform. to check it up, but it seems the order of magn. is reasonable. In fact the total energy radioactive energy production under one square foot of surface may well be equal to the energy of solar radiation falling on ~~area~~ that surface...
What do you think?
write to me at: The Union Univ. of Mich. Ann Arbor. Mich



Yours GCO.



CONTRACT W-7405, eng. 36, OFFICIAL MESSAGE

THIS MESSAGE IS TO BE SENT

• night letter . . .	<input checked="" type="checkbox"/>
• day letter . . .	<input type="checkbox"/>
• straight wire . . .	<input type="checkbox"/>

So... were neutrinos
from natural radioactivity
the cause of Reines'
background ?

Not a chance, the detector
was some 5 orders of
magnitude too small to
be sensitive to those...

TO: DR. GEORGE GAMOW
THE UNION
UNIVERSITY OF MICHIGAN
ANN ARBOR, MICHIGAN

MESSAGE:

FROM NUMBERS IN VREY BOOK ON THE PLANETS, EQUILIBRIUM HEAT LOSS
FROM EARTH'S SURFACE IS 50 ERGS/CM²SEC. IF ASSUME ALL DUE TO
BETA DECAY THEN HAVE ONLY ENOUGH ENERGY FOR ABOUT 10⁸, 14 Mev
NEUTRONS PER CM² AND SEC. THIS IS LOW BY 10⁵ OR SO. SHORT
HALF LIVES WOULD BE MADE BY COSMIC RAYS OR NEUTRONS IN EARTH.
IN VIEW OF RARITY OF COSMIC RAYS: I.E. ABOUT EQUAL TO ENERGY
OF STARLIGHT AND OF NEUTRONS IN EARTH THIS SOURCE OF NEUTRONS⁵
SEEMS EVEN LESS LIKELY AS A SOURCE OF OUR SIGNAL.

RETURN ADDRESS OF SENDER:

Frederick Reines and Clyde L. Cowan, Jr.
Los Alamos Scientific Laboratory
P. O. Box 1663
Los Alamos, New Mexico

telephone ext. 2-3288

The above message is on OFFICIAL BUSINESS and is necessary for performance of Contract W-7405 eng. 36. The message to be transmitted cannot be performed by mail and is being sent in this manner in the interest of the work of the project.

APPROVED..... DATE 6-26-53

THIS MESSAGE APPROVAL MUST BE SIGNED BY THE ORIGINATOR'S DIVISION OR GROUP LEADER BEFORE THE MESSAGE IS ACCEPTED FOR TRANSMITTAL.

original and one copy should be furnished to central records for handling

...KamLAND is about 10^5 times larger than the early detectors of Reines

The earth radiates ~40 TW of heat from its surface
40% (~16 TW) radiogenic



90% of it (~14 TW) from U and Th decays chains

Study of bulk U and Th concentrations is an important field of geophysics:

- Planet formation
- Heat production/planet dynamics

Traditional techniques:

- Heat-flow measurements (cannot separate different production mechanisms)
- U, Th sampling (difficult to sample on a global scale, difficult to sample very deep)



Result: very different models still plausible

General picture:

- 50% of U, Th in the crust
 - continental crust (~35 km thick) large concentration, ~ 1 ppm
 - oceanic crust (~6 km thick) lower concentration
- 50% of U, Th in the mantle and core, must lower concentration, 1 ppb in olivine

Antineutrinos are a way to make measurements in a truly global way (they essentially behave like gravity)

	Isotope	Endpoint (MeV)
U chain	^{234}Pa	2.29
	^{214}Bi	3.27
Th chain	^{228}Ac	2.08
	^{212}Bi	2.25

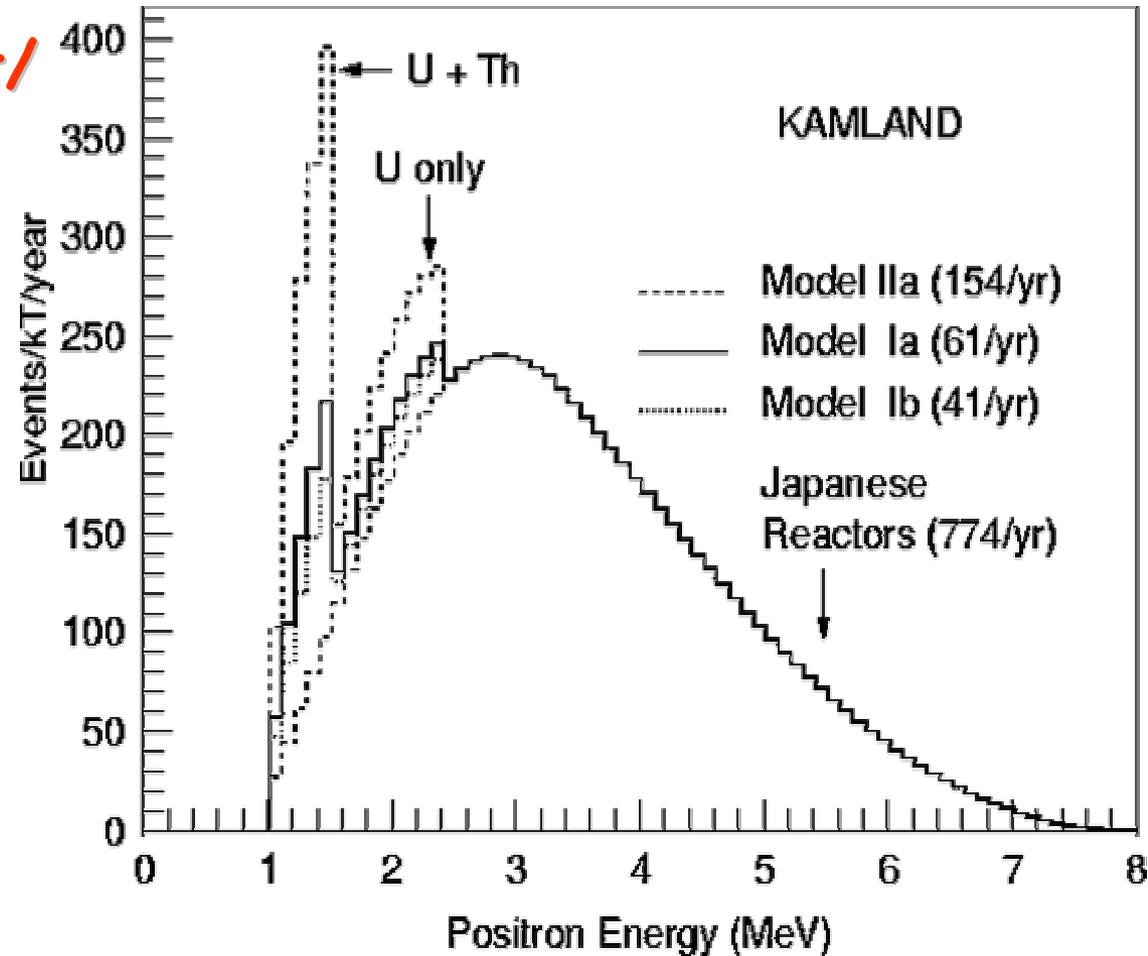
Total spectrum: 2 structures: $\sim 2.1 \text{ MeV}$
 $\sim 3.3 \text{ MeV}$

→ U and Th contributions can be separated-out
(^{40}K is below the detection threshold)

KamLAND: neutrinos from... hell

- High energy part: reactor measurement/ particle physics
- Low energy part: geophysics

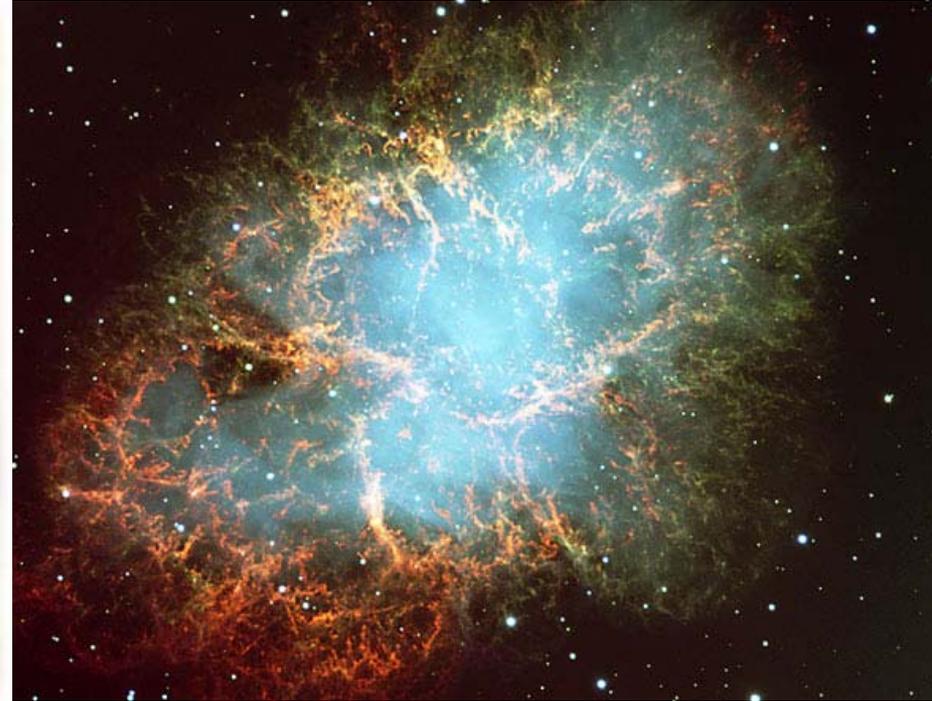
If this first measurement is successful we may want to build a detector dedicated to geophysics at a non-nuclear location (Australia ?, North Canada ?....)



Supernovae and the neutrinos



Explosion of the "type II"
supernova in the Crab; Jul 4, 1054 AD
(as recorded by the Anasazi
in Chaco Canyon, NM)



The Crab Nebula formed by the
expelled outer shell of the star
(as recorded by the VLT,
Cerro Paranal, Chile)

Type II supernovae: explosive phase of a star with $M > 6$ to $8 M_{\text{sun}}$

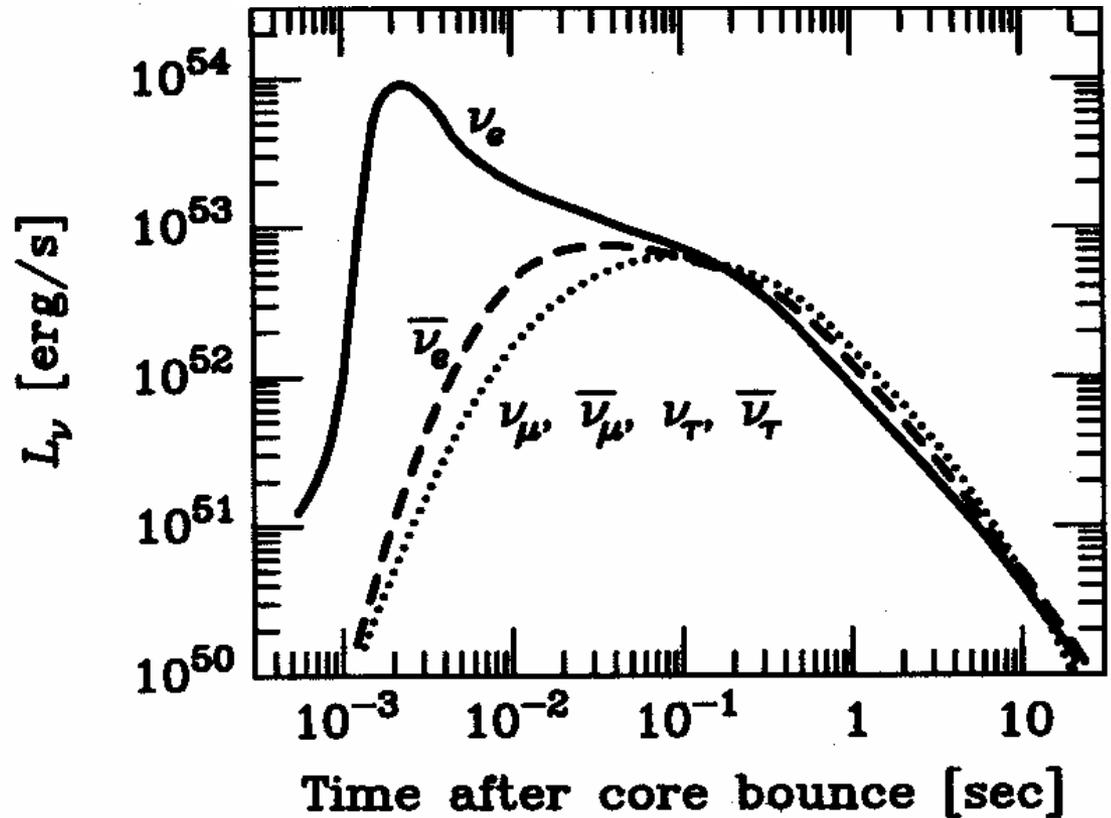
- Nuclear fuel burnt through Fe: no mechanism to hold further gravitational collapse
- $T = 0.8 \times 10^{10} \text{ K} = 0.7 \text{ MeV}$
 $\rho = 3 \times 10^9 \text{ g/cm}^3$ (this is a billion times the density of the earth, or the entire KamLAND in a teaspoon)
- The pressure causes the reaction $p + e^- \rightarrow n + \nu_e$

Very intense ν_e flash (~1 s duration)

- Neutrinos cool the star that collapses further
- The collapsing soup become so dense to be opaque to ν (!)
- Following mechanisms that we do not completely understand the fireball re-bounces blowing up the outer envelope (eventually like the Crab photo)
- ν of all flavors escape when density low enough (after ~10 s)

99% of the supernova explosive energy is carried away by neutrinos

... $\sim 3.8 \cdot 10^{33}$ erg, in 10 s it would take the sun 2000 billion years to produce that much energy!

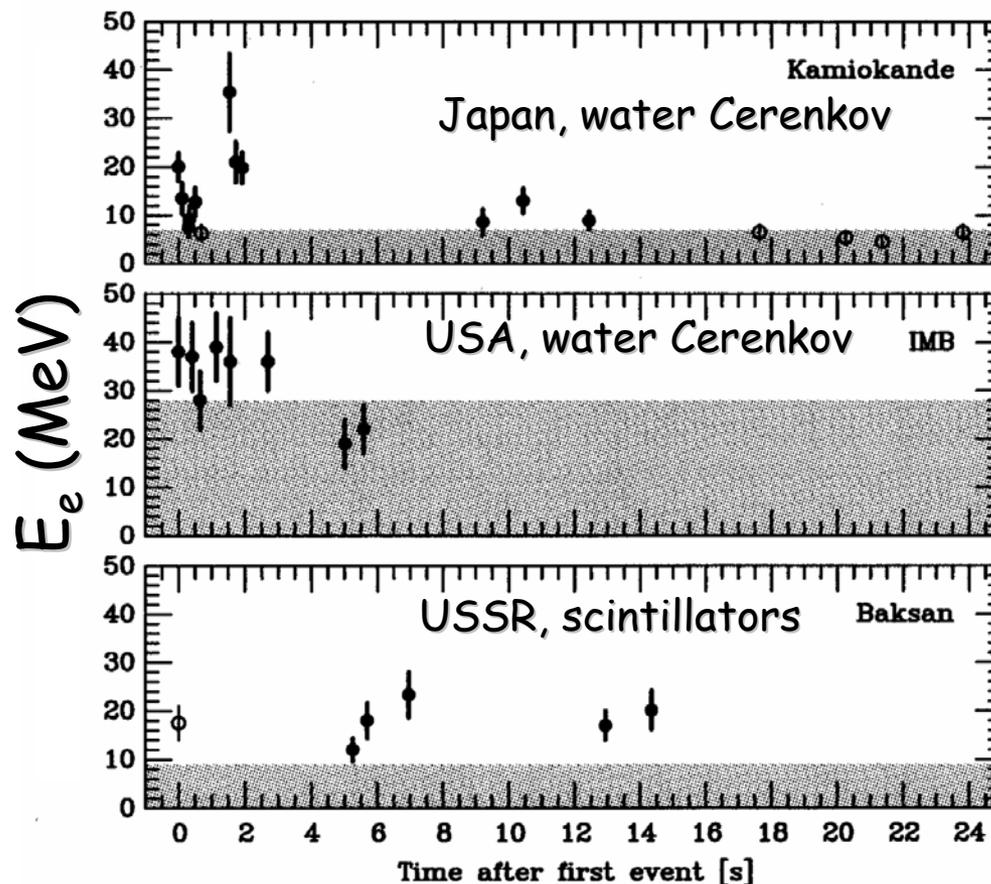


Only hours later the density is low enough for light to escape the star and the supernova flash appears

We have seen the neutrinos from a supernova only once

Feb 23, 1987 about 20 ν events were detected from an explosion in the Large Magellanic Cloud: SN1987A

The dawn of neutrino astronomy



Today there are several detectors ready to study neutrinos from supernovae

The number of events observed could be >10000 for a supernova in our galaxy

Observations could be used to explore:

- Neutrino masses (from the time of flight from the star)
- Neutrino oscillation (from the flavor composition)
- Explosion dynamics (from the duration of the flash and the temperatures (energy spectra) of different neutrino flavors)

From historical records there seem to be about 3 supernovae/century in the Milky Way...

Conclusions



I have covered a small fraction of the different ideas, experiments, theories connected to neutrinos

This is a fantastic field in rapid development

It is a very broad field with connections with particle physics, astrophysics, cosmology, geophysics and... who knows... technology !

...Keep an eye on it !